

# Annual Reports (Government Agencies) Notice 2008 (No 1)\*

## Notifiable Instrument NI2008-225

made under the

Annual Reports (Government Agencies) Act 2004, s 9 (Annual report direction), s 12  
(Declaration of responsible Minister for public authority), s 16 (Declaration of public  
authority)

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### 1 Name of instrument

This instrument is the *Annual Reports (Government Agencies) Notice 2008 (No 1)*.

### 2 Commencement

This instrument commences on the day after notification.

I hereby revoke NI 2007-206 – Annual Report (Government Agencies) Notice 2007.

### 3 Annual Report Directions 2007-2010, section 9

I issue the directions set out in Attachment A.

### 4 Declaration of Minister for a public authority, section 12

I declare that a Minister named in Attachment B, column 1 is the responsible Minister for the public authority named in Attachment B, column 2 opposite the Minister's name.

### 5 Declaration of public authority, section 16

I declare each entity named in Attachment C to be a public authority for the Act, dictionary, definition of *public authority*.



Jon Stanhope MLA  
Chief Minister

18 June 2008

\*Name amended under Legislation Act, s 60



# CHIEF MINISTER'S 2007-2010 ANNUAL REPORT DIRECTIONS

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## Section 1 – Directions

### 1. Introduction

The reporting period is 1 July to 30 June each year, unless varied by direction of the Chief Minister to take account of calendar year operations of specific authorities.

The *Annual Reports (Government Agencies) Act 2004* (the Annual Reports Act) sets the framework for annual reporting across the ACT public sector. This direction identifies which public bodies provide annual reports and outlines the timeframe for provision of reports.

The *Financial Management Act 1996* (FMA) requires departments and public authorities with financial reporting obligations under the FMA to include audited annual financial and performance statements in their annual report. The *Territory Owned Corporations Act 1990* makes similar requirements of Territory Owned Corporations (TOCs).

The *Annual Report Directions* (the Directions) apply consistent public accountability and statutory reporting requirements across the public sector. The Directions apply to all administrative units and those government agencies identified as public authorities. The Directions are to be read in conjunction with reporting obligations arising from an agency's enabling or other relevant legislation. Some TOCs and public authorities will have specific reporting requirements set out in their enabling legislation.

The reporting requirements specified within these Annual Report Directions are to be applied to agency Annual Reports for the 2007-2008, 2008-2009 and 2009-2010 financial years.

Annual Report Directions for the periods 2008-2009 and 2009-2010 will only be prepared to reflect which public bodies will provide an annual report and outline the timeframe for provision of reports in each specific financial year period.

### 2. Purpose of Annual Reports

Annual Reports are key accountability documents as they are:

- the principal way in which agencies account for their performance through Ministers to the Legislative Assembly and the wider community;
- tabled in the Assembly and form a key part of the historical record of government and public administration decisions, actions and outcomes;
- a source of information and reference about the performance of agencies and service providers for stakeholders, educational and research institutions, the media and the public; and
- key reference documents and documents for internal management.

Government Budget Papers and Statements of Intent establish core government outcomes and strategic priorities, while setting out performance targets and funding appropriations.



Annual Reports are reports from agency heads to their responsible Minister. They provide information about the achievements, issues, performance, outlook and financial position of the agency at the end of each reporting year.

### **3. Chief Executive Responsibilities**

Under the Annual Reports Act, the responsibility for annual reporting rests with Chief Executives, Chief Executive Officers or relevant statutory office holders or appointees with governance responsibility who make independent reports.

(Note: For the purpose of these Annual Report Directions, the term ‘Chief Executives’ will be used for Chief Executives, Chief Executive Officers or relevant statutory office holders or appointees with governance responsibility who make independent reports.)

Chief Executives (including appointees with governance responsibility) are required to:

- identify all relevant statutory and public accountability reporting requirements;
- report for the full year on all reporting requirements under their control at the end of the reporting period;
- include prescribed annexed and subsumed reports;
- indicate changes to administrative arrangements;
- sign the transmittal certificate; and
- provide copies of annual reports to their Minister/s.

Where the Chief Executive is responsible for more than one reporting entity, the decision to publish separate or consolidated annual reports rests with the Chief Executive in consultation with the appropriate Minister(s).

### **4. Reporting Entities**

The Annual Reports Act refers to two main kinds of reporting entities. Administrative units defined under Administrative Arrangement Orders made under the *Public Sector Management Act 1994* and public authorities. For the purposes of the Act, public authorities fall into three categories:

- those providing reports to a Minister;
- those providing reports to a Chief Executive for attachment to an administrative unit report (annexed reports); and
- those providing information to a Chief Executive for inclusion in an administrative unit report (subsumed reports).

### **5. Administrative Units, Annexed and Subsumed**

For each financial year, Annual Report Directions (a Notifiable Instrument) will be prepared that will identify:



## Reports

- Administrative Units (including their responsibility for annexed and subsumed reports);
- Responsible Minister for Annual Reports (including annexed and subsumed reports);
- Reporting entity responsibilities under sections 5, 6, and 7 of the Annual Reports Act; and
- Date nominated by the Chief Minister when Annual Reports must be tabled in the Legislative Assembly.

## 6. Compliance

*Enquiries concerning changes to the financial framework and reporting obligations should be directed to Accounting Branch, ACT Treasury, Ph 620 76141.*

Compliance with the Annual Report Directions is compulsory for all reporting entities. However, not all requirements are relevant or applicable to all entities given the nature of their operations. In circumstances where an entity determines that a reporting requirement is not applicable, an explanation detailing the reasons for the omission, must be included in the annual report. Report omissions and reasons for non compliance should be referenced under the relevant report heading in a table format as an appendix to the annual report.

The Annual Report Directions also reflect changes to agencies' financial and performance reporting resulting from the recent amendments to the *Financial Management Act 1996*.

## 7. Characteristics of Effective Annual Reporting

An effective annual report will:

- provide a clear picture of the agency's purpose, priorities, outputs and achievements;
- focus on results and outcomes – communicate the success or otherwise, including shortfalls, of the agency's activities in achieving government policy outcomes in the reporting year, while accounting for the resources used in the process;
- discuss results against expectations - provide sufficient information and analysis for the Assembly and community to make a fully informed judgement on agency performance;
- clearly identify any changes to structures or functions of the agency in the reporting period and explain changes in performance over time;
- report on agency financial and operational performance and clearly link with budgeted priorities and financial projections as set out in annual Budget Estimate Papers and the agency Statement of Intent and Corporate Plan;
- provide performance information that is complete and informative, linking costs and results to provide evidence of value for money;
- discuss risks and environmental factors affecting the



agency's ability to achieve objectives including any strategies employed to manage these factors, and forecast future needs and expectations;

- recognise the diverse needs and backgrounds of stakeholder groups and present information in a manner that is responsive to the maximum number of users while maintaining a suitable level of detail;
- comply with any specific legislative reporting requirement; and
- comply with the *Annual Reports (Government Agencies) Act 2004* and the Chief Minister's Annual Report Directions.

## 8. Format

Reporting entities are required to follow the reporting template (set out in Section 2 of the Directions) when preparing their annual report. This requires each entity to address three key reporting areas and include a transmittal certificate and relevant appendices in their annual report.

Section A – Performance and Financial Management reporting includes a specific set of accountability requirements relating to financial and management activities.

Section B – Consultation and Scrutiny Reporting includes a specific set of accountability requirements relating to community engagement, consultation and external scrutiny.

Section C – Other Reporting includes all other current policy and legislatively based reporting.

To assist the reader to understand the report, the format and layout should be logical and easy to follow so that it guides the reader through the report. An annual report must include:

- a table of contents;
- a list of abbreviations and acronyms;
- a glossary of technical terms;
- an alphabetical index;
- other sources of information if applicable;
- a compliance index of mandatory reporting requirements (refer Attachment 4); and
- a table of reporting omissions (if applicable).

In addition, the agency annual report must provide throughout the body of the report:

- reference to contact officers (with contact details) for reporting purposes; and
- reference to related annual reports.



Where possible the report should also include:

- internal cross referencing between the text and any related appendices, and the relevant part of the financial statements; and
- a website address for the agency and where the report can be accessed, or if the agency does not have a website, where copies can be obtained.

## 9. Publication

**CONTACT: Communications Unit**  
**Chief Minister's Department**  
**Ph 620 76457**

Annual reports should not be designed for promotional, marketing, commercial or morale-building purposes. They should be an objective account, primarily to the Legislative Assembly, of how the entity has performed during the reporting year.

Annual reports should be modest documents. Entities should ensure that they consider the principles of good design and communication to produce a report that is informative and easy to read. The language of the report should be clear, concise, consistent and free from technical jargon. The format and layout should be logical and easy to follow so that it guides the reader through the report. Where appropriate, entities should summarise and simplify information using tables, graphs and comparative data.

Entities are encouraged to minimise the use of elaborate design features to maintain focus on accountability, rather than on the promotion of agency activities. Although presentation can assist in increasing interest and clarifying content, costs of producing annual reports through the use of graphic designers, colourful graphics, photographs, artwork and expensive paper, should be avoided. Consideration should be taken in the production of annual reports to minimise any environmental impact.

Numbers of copies printed should be kept to a minimum and wherever possible readers should be directed to an online version of the annual report.

Production standards are set out in branding guidelines, available from your agency's communications unit, or in the ACT Government Branding Guidelines available through Chief Minister's Department website.

Dependent on your requirements digital technology can be a cost effective method of printing annual reports however the printing standards provide that:

- The quality of binding should ensure that documents are robust with frequent handling;
- covers must not exceed three colours;
- multiple colours must be avoided – colour pages may be used to differentiate between sections;





- reports should use no more than three print colours. Black or shades of black will be considered a colour;
- it is permissible to use additional colours if required to adequately represent charts and graphics;
- no full colour photography is to be used; and
- the use of photographs generally is to be kept to a minimum and should be used to provide added information rather than as a design feature.

**Note:** Under newly revised ACT Government branding requirements, all ACT Government agency external communications must carry the Government brand as their primary brand. The revised ACT Government logo should be displayed on the front cover of Annual Reports and be of at least equal size to the agency's logo. More information on branding requirements can be found at <http://www.scripts.act.gov.au/ACTGOVlogo/index.html>.

## 10. Presentation

The Annual Reports Act requires Chief Executives of administrative units, public authorities and the Commissioner for Public Administration to prepare Annual Reports and for the responsible Minister to present the Report to the Legislative Assembly **within 3 months** after the end of the reporting period.

### Tabling Arrangements:

- Annual Reports must be tabled in the Legislative Assembly on the date nominated annually by the Chief Minister in a tabled notifiable instrument;
- The Cabinet and Intergovernmental Relations branch will seek government business for the September sitting week in early September of each year. Agencies need to include in their returns to the Cabinet and Intergovernmental branch the tabling of annual reports, including responsibility for those authorities listed under each portfolio Minister in the Administrative Arrangements Orders;
- Compliant with section 9(3)f of the *Annual Reports (Government Agencies) Act 2004*, reporting entities must present 2007-08 Annual Reports to the responsible Minister before the close of business **Wednesday 24 September 2008**. Under subsection 13(4)a of the Act, annual reports must then be given to the Speaker by the close of business Tuesday 30 September 2008, unless an extension of time has been granted under section 14 of the Act;
- Ideally, any request for an extension of time in accordance with subsection 14(7) of the Act, should nominate an alternate date for presentation prior to the last sitting day in August 2008, given 2008 is an election year and the caretaker period will commence on 12 September 2008;

**CONTACT: Public Sector  
Management Chief Minister's  
Department  
Ph 620 50358**

**CONTACT: Cabinet and  
Intergovernmental Relations  
Chief Minister's Department  
Ph 620 50543**



- Any reporting entity seeking advice about their legal requirement to prepare an Annual Report should seek advice from the Chief Minister prior to the last sitting day in August 2008;
- For years when the September sitting week is prior to the nominated date for tabling, out-of-session circulation is required with tabling occurring during the first available sitting day;
- All entities will normally need to provide 40 copies of their annual report to the Cabinet and Intergovernmental Relations branch for tabling in the Assembly unless Annual Report Directions require that out of session circulation be required as there are no sitting days prior to the nominated presentation date.
- For any out-of-session circulation, 20 copies will need to be provided to the Speaker and a copy of the covering letter with another 20 copies should subsequently be provided for tabling at the next available sitting day.
- Note that annual reports are to be publicly available from the tabling date and must be placed on ACT Government Internet sites on the date of tabling in the Assembly (refer to item 10 Access and Distribution of electronic copies);
- Where Annual Reports are circulated out-of-session annual reports must be publically available when provided to the Speaker.
- In the case of entities using Publishing Services (Shared Services), the Cabinet and Intergovernmental Relations branch (Assembly Liaison, Chief Minister's Department) will coordinate the receipt of annual reports;
- Reporting entities using an external publishing provider should arrange for the relevant DLO in the Minister's office to coordinate arrangements regarding receipt of annual reports.

## 11. Access and Distribution

### Electronic Copies

All annual reports must be placed on ACT Government Internet sites and provided for listing on a central site on the same day that reports are tabled in the Assembly, or if circulated out of session, when it is made available to the Speaker.

### **The Auditor General's Office monitors compliance with this requirement.**

Annual reports coordinated by Publishing Services will be automatically added to the central site - the ACT Government catalogue of Publications. Reporting entities undertaking their own publishing must notify [publications.act@act.gov.au](mailto:publications.act@act.gov.au) so the report can be added to the catalogue.

All entities must provide reports either in an electronically published format that can be read by translation software used by people with disabilities (Adobe Acrobat version 6 or above) or provide an additional report as a MS Word document

**CONTACT: Publishing Services  
Shared Services, Department of  
Treasury  
Ph 620 50552.**



(marked as a non-official version).

Reports should be generated as consolidated electronic documents with the number of volumes kept to a minimum. (This permits a separate document for financial statements if necessary). Electronically published reports must comply with the Annual Report Directions and be subject to appropriate version control processes.

### Hard Copies

As a **minimum**, all entities should produce **forty-four (44)** copies of their annual report for distribution as follows:

- **Forty (40)** hard copies must be provided for tabling in the Assembly (refer item 9 Presentation);
- **Two (2)** hard copies must be sent to the Public Sector Management Group, Chief Minister's Department;
- **One (1)** hard copy of the annual report must also be sent to the National Library of Australia within one month of presentation to the Minister in accordance with *Section 201* of the *Copyright Act 1968* (Cth); and
- **One (1)** hard copy must be sent to the ACT Government and Assembly Library.
- Agencies co-ordinating reports through publishing services will have copies delivered to the Public Sector Management Group, the National Library and to the ACT Government and Assembly Library service.

## 12. Corrections

**CONTACT: Public Sector  
Management Chief Minister's  
Department**  
**Ph 620 50358**

**Contact: Cabinet Office  
Chief Minister's Department**  
**Ph 620 50543**

Should a correction be required to an annual report (following its presentation to the Legislative Assembly), a reporting entity must prepare a corrigendum for tabling. The corrigendum must:

- contain the new correct material; and
- make reference to the page number(s)/sections of the original report that it replaces.

Advice on the format of the corrigendum can be obtained from Public Sector Management. Enquiries relating to tabling of corrections should be directed to the Cabinet Office.

## 13. Feedback

Details of a contact area and contact phone number should be clearly stated to enable readers the opportunity to provide feedback on the structure and/or content of the report in its entirety. The collation of such information may assist in compiling future annual reports.

It is useful for reporting entities to obtain periodic feedback on the effectiveness of their annual reports. This can be accomplished by:

- enclosing a short questionnaire with the annual report; and/or
- having their annual report considered for Awards such as the Institute of Public Administration Australia (IPAA) (ACT Division) Awards.

Information concerning the awards, including the judging



criteria, can be found at [www.act.ipaa.org.au](http://www.act.ipaa.org.au).

#### **14. Compliance Audit**

Annual Reports of all reporting entities will be audited by the Auditor General's Office for compliance with these Annual Report Directions.



## Section 2 – Annual Report Format

### Transmittal Certificate

**All reports must include a transmittal certificate on agency letterhead signed by the Chief Executive, Chief Executive Officer or the statutory office holder producing the report. Reports for agencies with a governing board must have a transmittal certificate signed by both the Chair of the board and the Chief Executive Officer.**

The transmittal certificate must:

- state that the report has been prepared under, in the case of administrative units, section 5(1) or, in the case of public authorities making independent reports, section 6(1) of the *Annual Reports (Government Agencies) Act 2004* (Annual Reports Act);
- certify that fraud prevention has been managed in accordance with Public Sector Management Standard 2, Part 2.4;
- state that the report is in accordance with requirements of the Annual Report Directions and the report is an honest and accurate account, containing all material information for the reporting period;
- state that the report meets requirements of any other relevant legislative requirements; and
- that the report must be presented to the Legislative Assembly by the Minister within 3 months of the end of the financial year in accordance with section 13 of the Annual Reports Act.

### Minimum Requirement

The following is a minimum requirement:

This Report has been prepared under section 5(1) [reference for administrative units] section 6(1) [reference for public authorities making independent reports] of the *Annual Reports (Government Agencies) Act 2004* and in accordance with the requirements referred to in the Chief Minister's Annual Report Directions. It has been prepared in conformity with other legislation applicable to the preparation of the Annual Report by the [Name of Agency].

I hereby certify that the attached Annual Report is an honest and accurate account and that all material information on the operations of [Name of Agency] during the period ..... has been included and that it complies with the Chief Minister's Annual Report Directions.

I also hereby certify that fraud prevention has been managed in accordance with Public Sector Management Standard 2, Part 2.4.

Section 13 of the *Annual Reports (Government Agencies) Act 2004* requires that you cause a copy of the Report to be laid before the Legislative Assembly within 3 months of the end of the financial year.

Signed and dated by .....[Chief Executive, Chief Executive Officer and/or Chair or Statutory Officer Holder]



## Section A – Performance and Financial Management Reporting

In this section, the Chief Executive<sup>1</sup> should provide an understanding of the agency, an overview of the operating environment, a summary of highlights and the outlook for the coming year.

### A.1 The Organisation

This part should include:

- the role of the agency, including functions and service provided;
  - the mission and values of the agency;
  - the structure of the agency; and
  - the agency's clients and stakeholders.
- 

### A.2 Overview

This part should include:

- a strategic (summary) assessment of agency performance setting the context for more detailed information in subsequent parts of the report;
  - a description of the planning framework and direction setting mechanisms for the agency;
  - the organisational environment, including relationship to other agencies within the Minister's area of responsibility;
  - identification and response to significant organisational change and/or the revision to administrative arrangements;
  - the agency's role in administration of legislation or other regulatory activities including an outline of legislation, statutory powers and functions; and
  - where relevant, information about subsidiaries, joint ventures or partnerships.
- 

### A.3 Highlights

This part should include a synopsis of:

- noteworthy operational achievements;
  - major challenges; and
  - progress against key strategic outputs and service delivery priorities i.e early intervention services.
- 

### A.4 Outlook

This part should:

- identify future priorities;
  - describe likely trends and changes in the operating environment; and
  - assess significant risks and issues facing the agency in the immediate future.
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<sup>1</sup> This term includes appointees with governance responsibility, statutory office holders and/or Board Chairs



## A.5 Management Discussion and Analysis

### BASIS OF REQUIREMENT

- Management and Discussion and Analysis – Better practice Guideline  
[\[http://www.treasury.act.gov.au/accounting/html/guidelines.htm#a\]](http://www.treasury.act.gov.au/accounting/html/guidelines.htm#a)

### REPORT DESCRIPTOR

The Management Discussion and Analysis (MD&A) provides a high level narrative of the financial results and health of an entity. The MD&A should enhance annual financial reporting, be understandable and useful to a wide audience, including the Legislative Assembly, which predominantly consists of non-accountants. The MD&A also enables Chief Executives of departments and either Chief Executive Officers or Governing Boards of Territory authorities to fulfill their respective obligations under sections 31(3), 55(4) or 56(4) of the FMA.

The MD&A should explain the:

- significance of key financial information contained in the annual financial report;
- strategies that led to the results reported; and
- implications of financial trends for future services/operations of the entity.

The MD&A precedes the audited annual financial report, but does not form part of the report and hence is not directly subject to audit. However, the Auditor-General will review the MD&A for consistency with information contained in the financial statements, in line with Audit Standard 212 (*Other Information in Documents Containing Audited Financial Reports*). Consequently, the MD&A should be provided with the certified financial report presented to the Audit Office in accordance with the Treasury timetable outlined for Annual Financial Reports.

CONTACT: ACCOUNTING BRANCH, ACT TREASURY, PH 620 76141.

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## A.6 Financial Report

### BASIS OF REQUIREMENT

- Financial Management Act 1996 (FMA)*;
- Territory Owned Corporations Act 1990 (TOC Act)*;
- ACT Government Accounting Policies  
[\[http://www.treasury.act.gov.au/accounting/html/accounting.htm\]](http://www.treasury.act.gov.au/accounting/html/accounting.htm);
- Model Financial Report [\[http://www.treasury.act.gov.au/accounting/html/statements.htm\]](http://www.treasury.act.gov.au/accounting/html/statements.htm).

### REPORT DESCRIPTOR

Those entities that must prepare an annual financial report for the year must include it in the relevant annual report. The annual financial report(s) must be accompanied by the respective Auditor-General's independent audit report for the year and together these should be provided in an appendix to the annual report. To improve accessibility, it may also be useful to include an index with the financial report(s).

The annual financial report must be prepared in accordance with:

- the relevant legislation;
- Generally Accepted Accounting Principles (GAAP) including, where relevant, ACT Government Accounting Policies; and
- the timetable set by the Department of Treasury (Treasury).

## LEGISLATION

### Agencies and Public Authorities

Agencies and certain public authorities must meet the following disclosure requirements under the FMA:

- sections 27-30: departments for the purposes of the FMA;



Legislative Assembly;  
Auditor-General; and

- sections 63-66: public (Territory) authorities for the purposes of part 8 of the FMA.

### **Territory Owned Corporations**

Territory Owned Corporations (TOC) must meet the disclosure requirements under section 22 of the *Territory-owned Corporations Act 1990*, and the *Corporations Act 2001*, specifically Chapter 2M “Financial reports and audit”.

### **Generally Accepted Accounting Principles**

All entities that are required to prepare an annual financial report for the year must follow the accounting pronouncements of the Australian Accounting Standards Board.

### **Agencies and Public Authorities**

Agencies and public authorities subject to FMA reporting requirements must also prepare their annual financial report in accordance with the:

- Model Financial Report for the year;
- ACT Government Accounting Policies; and
- relevant guidance released through Treasury finance memoranda.

Agencies and relevant public authorities should use the Accounting Policy Papers and Model Financial Report as tools to understand and meet the ACT Government's accounting policy requirements. In particular, the Model Financial Report is designed to assist departments and Territory authorities (for the purposes of the FMA) to meet their respective legislative requirements.

### **TIMETABLE**

To meet whole-of-government reporting deadlines, entities must submit their annual financial report to the Auditor-General no later than the dates specified in the timetable issued by Treasury. Early submission of entities’ respective annual financial reports, wherever possible, will help ensure that the audit of all financial reports is completed in time to meet the Territory’s whole-of-government financial reporting deadlines.

CONTACT: ACCOUNTING BRANCH, TREASURY, PH 620 76141.

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## **A.7 Statement of Performance**

### **BASIS OF REQUIREMENT**

- *Financial Management Act 1996 (FMA)*.

### **REPORT DESCRIPTOR**

Those entities that must prepare an annual statement of performance for the year must include it in the relevant annual report. The annual statement(s) of performance must be accompanied by the respective Auditor-General’s report of factual findings for the year and together these should be provided in an appendix to the annual report.

The annual statement of performance must be prepared in accordance with the:

- *Financial Management Act 1996*; and
- timetable set by Treasury.

### **FMA REQUIREMENTS**

Agencies and certain public authorities must meet the following disclosure requirements under the FMA:

- sections 30A-30D: departments for the purposes of the FMA;



- section 68-71: public (Territory) authorities for the purposes of FMA part 8.

## Agencies

The statement of the performance for a department (as defined by the FMA) in providing each class of outputs provided during the year must:

- compare the actual annual performance against the projected performance contained in the budget papers for the year; and
- provide details of the extent to which the projected performance criteria contained in the budget in relation to the provision of outputs were satisfied.

The Financial Management (Statement of Performance Scrutiny) Guidelines 2008 clarifies that the statement of performance reports on a department's accountability indicators only and does not include strategic indicators.

## Public Authorities

The statement of the performance for a Territory authority (subject to part 8 of the FMA) must assess its performance for the year by reporting against the performance criteria and other measures set out in the authority's statement of intent for the year.

For a 'prescribed' Territory authority, as defined by the FMA, section 68 (3) requires that "the statement must also include a statement of the performance of the authority in providing each class of outputs provided by it during the year and, in particular—

- (a) compare the performance of the territory authority in providing each class of the outputs with the forecast of the performance in the authority's budget for the year; and
- (b) give particulars of the extent to which the performance criteria set out in the budget for the provision of the outputs were met.

The Financial Management (Statement of Performance Scrutiny) Guidelines 2008 clarifies that the performance referred to in section 68(3) are accountability indicators. A prescribed Territory authority's statement of performance is not required to include an authority's strategic indicators if they do not appear in the authority's statement of intent.

Prescribed Territory Authorities are (*Financial Management (Territory Authorities prescribed for Outputs) Guidelines 2006*):

- ACT Gambling and Racing Commission;
- Canberra Institute of Technology;
- Cultural Facilities Corporation; and
- Legal Aid Commission (A.C.T.).<sup>2</sup>

## TIMETABLE

To meet annual reporting deadlines, entities must submit their annual statement of performance to the Auditor-General no later than the dates specified in the timetable issued by Treasury. Early submission of entities' respective statements of performance, wherever possible, will help ensure that the scrutiny of all statements of performance is completed in time to meet the legislated annual reporting deadlines.

<sup>2</sup> Financial Management (Territory Authorities prescribed for Outputs) Guidelines 2006. Disallowable instrument DI2006-82

## CONTACT

Accounting Branch, Treasury, Ph 620 76141.

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## A.8 Strategic Indicators

### Basis of requirement

- Annual Report Directions
- *Financial Management Act 1996 (FMA)*.

### Report descriptor

Strategic indicators are aimed at measuring performance against longer-term and strategic outcomes. They provide a strategic context for the delivery of services to the community, and links to the Government's strategic planning documents. In general, strategic indicators will provide a target or objective to be achieved by the department, by Government, or within the community over the long-term.

An agency's strategic indicators (if required) are set as part of the Budget process and appear in the Budget Papers. Agencies must report on their performance against these indicators in an appendix to the annual report.

### Agencies

For strategic indicators, a department (as defined by the FMA) must:

- compare the actual annual performance against the projected performance contained in the department's budget papers for the year; and
- provide details of the extent to which the projected performance criteria contained in the department's budget were satisfied.

### Public Authorities

Only 'prescribed' Territory authorities (listed below) have strategic indicators and hence are required to report against them. Where a 'prescribed' Territory authority has strategic indicators listed in the Budget Papers which do not appear in their statement of intent, the authority must report the following for their strategic indicators:

- compare the actual annual performance against the projected performance contained in the authority's budget papers for the year; and
- provide details of the extent to which the projected performance criteria contained in the authority's budget were satisfied.

Where a 'prescribed' authority's strategic indicators are included in their statement of intent, they will be reported instead as part of the agency's statement of performance.

Prescribed Territory Authorities<sup>3</sup> are:

- ACT Gambling and Racing Commission;
- Canberra Institute of Technology;
- Cultural Facilities Corporation; and
- Legal Aid Commission (ACT).

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<sup>3</sup> Financial Management (Territory Authorities prescribed for Outputs) Guidelines 2006. Disallowable instrument DI2006-82.

## Contact

ACCOUNTING BRANCH, TREASURY, PH 620 70246.

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## A.9 Analysis of Agency Performance

### REPORT DESCRIPTOR

The Chief Executive should provide a narrative assessment explaining how well the agency performed during the relevant reporting period in meeting its objectives, not just stating what it did. This explanation should be supported by information on trends, evaluation results, targets and other points of reference and comparison of results against plans.

This should include the use of accurate, consistent and complementary information which presents a balanced and coherent snapshot of an agency's achievements within a strategic context. Analysis should provide a coherent picture of performance that demonstrates consistency between years and links where appropriate to:

- performance measurement framework detailed in budget papers and performance statements;
- reporting requirements detailed in Statements of Intent;
- agency business plans;
- strategic priorities and actions detailed in the Canberra Plan framework; and
- performance of statutory functions or duties.

Analysis of performance should, where appropriate, also include reference to:

- the achievement of organisational objectives through greater efficiency (i.e. through delivery of a higher level of outputs, an improved operating result or greater focus on core business);
- benchmarking or other comparisons that support trend analysis and management discussion;
- explanation of significant variances and performance shortfalls including the reasons for the result and what the agency plans to do in response, to the extent that the situation is within their control; and
- key management issues and organisational change that occurred during the reporting period.

CONTACT: STRATEGIC HR, CHIEF MINISTER'S DEPARTMENT PH 620 76502.

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## Section B – Consultation and Scrutiny Reporting

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### B.1 Community Engagement

#### BASIS OF REQUIREMENT

Community Engagement Initiative - Develop a community engagement initiative to build a stronger, more cohesive relationship between the ACT Government and the Canberra community.



## REPORT DESCRIPTOR

Agencies must include the following community engagement information:

- details of major/significant community consultations undertaken during the year;
- tools used to engage with the community, eg community engagement link on the DHCS website, forums, workshops, focus groups, advertisements in the paper; and
- the number of people/organisations who participated in the consultations;
- the outcome/results of the consultations.

CONTACT: STRATEGIC POLICY AND COMMUNITY ENGAGEMENT, GOVERNANCE, STRATEGY AND COMMUNITY POLICY, DEPARTMENT OF DISABILITY, HOUSING AND COMMUNITY SERVICES EMAIL: CEU@ACT.GOV.AU

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## B.2 Internal & External Scrutiny

### REPORT DESCRIPTOR

The agency must report on the most significant developments in scrutiny, both internal and external of the agency and the agency's response, including particulars of ACT Auditor General and ACT Ombudsman reports.

This schedule should indicate, for each report:

- the name of the committee, the report number and title, and the date the report was tabled in the Legislative Assembly;
- details of the recommendations of the report that have been accepted, either in whole or in part, by the Government; and
- a summary of action to date, either completed or in progress (including milestones completed), in implementing these recommendations.

CONTACT: STRATEGIC HR, PUBLIC SECTOR MANAGEMENT, CHIEF MINISTER'S DEPARTMENT PH 620 76502.

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## B.3 Legislative Assembly Committee Inquiries and Reports

Agencies must include a list of completed inquiries by Legislative Assembly Committees that relate to the operations of the agency. Agencies should also provide details on the implementation of recommendations of Assembly Committees that have been accepted by the Government of the day in response to Committee reports.

This schedule should indicate, for each Committee report:

- the name of the committee, the report number and title, and the date the report was tabled in the Legislative Assembly;
- details of the recommendations of the report that have been accepted, either in whole or in part, by the Government; and
- a summary of action to date, either completed or in progress (including milestones completed), in implementing these recommendations.

CONTACT: STRATEGIC HR, PUBLIC SECTOR MANAGEMENT, CHIEF MINISTER'S DEPARTMENT PH 620 76502.

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## B.4 Legislation Report

Agencies must include a full list of legislation for which the agency is responsible in accordance with the Administrative Arrangements Orders, and highlight any legislation enacted during the reporting period.

**CONTACT: STRATEGIC HR, PUBLIC SECTOR MANAGEMENT, CHIEF MINISTER'S DEPARTMENT PH 620 76502.**

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## Section C – Legislative and Policy Based Reporting

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### C.1 Risk Management and Internal Audit

#### BASIS OF REQUIREMENT

- Annual Report Guidelines
- ACT Government Internal Audit Framework,  
[<http://www.treasury.act.gov.au/accounting/html/guidelines.htm#d>]
- Australian Capital Territory Insurance Authority – Risk Management  
<http://www.treasury.act.gov.au/actia/Risk.htm>

#### REPORT DESCRIPTOR

Entities must report on their risk management and internal audit policies and practices. The report should include the following information:

- membership of the internal Audit Committee, with details of
  - the number of meetings held by the committee; and
  - the number of meetings attended by committee members.
- internal audit arrangements, including Audit Committee charter and operations, and links with risk review processes;
- process of developing the entity's risk management plan;
- approach adopted to identifying areas of significant operational or financial risk at entity and business unit level;
- arrangements in place to manage and monitor those risks; and
- process for identifying and responding to emerging risks.

CONTACT: ACCOUNTING BRANCH, TREASURY [INTERNAL AUDIT FRAMEWORK] PH 620 76141;  
ACT INSURANCE AUTHORITY (RISK MANAGEMENT) PH 620 70268.

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### C.2 Fraud Prevention

#### REPORT DESCRIPTOR

Agencies must report on their fraud control and prevention policies and practices and can include:

#### Prevention strategies

- details of risk assessments conducted;
- fraud control plans prepared (or revised);
- other fraud prevention strategies adopted; and
- fraud awareness training.

Agencies should also report on detection strategies including:

- the number of reports or allegations of fraud or corruption received and investigated during the year; and
- action taken and outcomes of any investigations.

CONTACT: STRATEGIC HR, CHIEF MINISTER'S DEPARTMENT PH 620 76502.

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## C.3 Public Interest Disclosure

### Basis of Requirement

*Public Interest Disclosure Act 1994*

### Report descriptor

Under Section 11 of the *Public Interest Disclosure Act 1994* (PID Act) all agencies with reporting requirements must report on their procedures in place to facilitate the making of disclosures as well as the disclosures they receive and handle.

Each report should include:

1. A description of the procedures maintained by the agency to receive and handle disclosures during the reporting year.
2. Statistics relating to the reporting year:
  - number and type of disclosure received (type of disclosure refers to the conduct as described in section 4(2) of the PID Act);
  - number of disclosures investigated;
  - number of disclosures referred by other agencies;
  - details of disclosures that were referred elsewhere, including:
    - the total number referred;
    - the identity of the other agency;
    - the number and type of disclosures referred to each agency.
  - the number of disclosures on which the agency declined to act under section 17 of the PID Act; and
  - the number of disclosures substantiated by investigation;
3. Details of remedial action on each substantiated disclosure.
4. Details of remedial action taken on and/or for Ombudsman recommendations.

CONTACT: STRATEGIC HR, CHIEF MINISTER'S DEPARTMENT PH 620 76502.

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## C.4 Freedom of Information

### Basis of requirement

Under Sections 7, 8 and 79 of the *Freedom of Information Act 1989* (the FOI Act) agencies must report on the FOI requests they receive and handle during the reporting year.

### Report descriptor

#### Section 7 Statement

Section 7 Statement must include the following information:

- functions and operations of the agency including information about the decision making powers of the agency along with any other powers that may affect members of the public;
- how members of the public can participate in the work of the agency, such as in the formulation of policy or in the administration of a scheme. This will include methods for public consultation as well as other ways members of the public can make representations to the agency;
- categories of documents that are in the possession of the agency and maintained by the agency; and

- facilities provided by the agency for enabling members of the public to obtain physical access to the documents of the agency (for example, the availability of public transport, wheelchair access etc.).

Where an agency does not have annual reporting obligations, it must publish a section 7 statement in the annual report of an agency to which its functions relate.

### **Section 8 Statement**

A section 8 Statement must include an index of documents that are used by, or provided by, the agency for the purpose of making a decision or recommendation under an enactment or scheme, including:

- manuals or guides containing interpretations, rules, guidelines, practices or precedents;
- documents containing particulars of schemes/enactments;
- documents containing statements/outlines the manner (or intended manner) for the administration/enforcement of a scheme/enactment; and
- documents describing procedures to be followed in investigating breaches or evasions (or the possibility of) of an enactment or the law relating to a scheme.

Such documents may be in the form of departmental or agency administrative policies, operational policies, guidelines in relation to industry practices or protocols, codes of conduct, information guides in respect of legislation or schemes, brochures and pamphlets. Please note that anything published in the statement should be made available to the public on request.

It may not be practical to publish the statement in the annual report, however agencies should make a statement as to the availability of the section 8 Statement, and methods for accessing a copy of the statement, in its annual report. Agencies that do not have any annual reporting obligations should make a statement in the annual report of an agency to which its functions relate.

### **Section 79 Statement**

There are two parts to Section 79 reporting. Under section 79(2), agencies must report certain information in Agency annual reports. Additional information under Section 79(1) must be provided to the Public Law Group, Legislation Policy Branch, Department of Justice and Community Safety to facilitate reporting on the operations of the Act by the Department of Justice and Community Safety.

Agencies must report the following information under section 79 in Agency annual reports:

- number of applications made during the reporting year to access documents (initial requests). The report must specify the number of requests in relation to which:
  - full access to the documents was granted;
  - access was refused to all documents;
  - partial access to the documents was granted; or,
  - a decision is still pending.
- number of applications made during the reporting year for the internal review of decisions under section 59 and particulars of the results of such reviews;
- number of applications made during the reporting year to the Tribunal for the review of decisions and particulars of the results of such reviews;
- particulars of the total charges and application fees collected during the reporting year in relation to FOI requests and other applications made under the FOI Act; and
- the number of requests received during the reporting year to amend records under section 48 and particulars of the results of such requests.

Agencies that do not have any annual reporting obligations should make a section 79 (2) statement in the annual report of an agency to which its functions relate.



The Department of Justice and Community Safety will notify Agencies annually of the contact details for provision of information in relation to section 79 (1) reporting. Information required is as follows:

- The number of requests received by each agency during the financial year for:
  - access to documents (initial requests only); and
  - amendment of personal records.
- The number of requests must be sorted into the following categories:
  - where a decision was notified in less than 31 days after the request was received;
  - where a decision was notified not less than 31 days and not more than 45 days after the request was received;
  - where a decision was notified not less than 46 days and not more than 60 days after the request was received;
  - where a decision was notified not less than 61 days and not more than 90 days after the request was received;
  - where a decision was notified more than 90 days after the request was received; and,
  - where a decision is still pending.

CONTACT: PUBLIC LAW GROUP, LEGISLATION POLICY BRANCH, DEPARTMENT OF JUSTICE AND COMMUNITY SAFETY PH: 62070687 OR 62053310.

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## C.5 Internal Accountability

### REPORT DESCRIPTOR

Agencies must report on the following:

- the names of the senior executive and their responsibilities reconciled against agency's organisational and output structure;
- senior management committees, their roles and membership;
- how remuneration for senior executives is determined (noting this will be a standard entry referring to the Remuneration Tribunal);
- the names of significant committees of the agency, and details of membership;
- provide an organisational structure chart:
  - highlighting senior management structure and organisational units;
  - identifying any substantial organisational changes in the reporting year; and
- corporate and operational plans and associated performance reporting, monitoring and review.

For Authorities with a governing or advisory board that provides advice to the Minister, details must include:

- board composition including the mix of executive and non-executive board members, the criteria for membership, procedures for appointing board members, and the personal details of each board member including qualifications and experience;
- frequency of and members' attendance at meetings;
- policies relating to the appointment and retirement of board members;
- the main procedures for establishing and reviewing remuneration arrangements for the Chief Executive Officer and non-executive board members;
- a description of major issues and/or legislation the advisory board was consulted on;
- the oversight of the preparation of the entity's financial statements and internal controls, including the composition and responsibilities of audit committees;



- audit arrangements including the approach adopted to identify areas of significant risk and arrangements in place to manage and monitor them;
- policies on the establishment and maintenance of appropriate ethical standards, including whether a code of ethics or code of conduct has been established;
- the resources that are made available to board members to assist them to carry out their duties, including access to independent professional or legal advice;
- names of significant committees of the entity;
- membership of those committees;
- titles of senior offices within the entity;
- an organisational chart indicating functional responsibilities; and
- corporate and operational plans and associated performance reporting, monitoring and review.

CONTACT: STRATEGIC HR, CHIEF MINISTER'S DEPARTMENT PH 620 76502.

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## C.6 HR Performance

### REPORT DESCRIPTOR

Analysis of Human Resource (HR) performance during the reporting year should relate to the following themes:

- Delivering for the Future;
- Strengthening Organisational Resilience;
- Sustaining Community Confidence;
- Working Collaboratively;
- Enhancing Skills and Capabilities.

Agencies must describe their approach to HR management and workforce planning within the agency, including how the workforce profile is aligned and managed to meet agency objectives. Agencies should include in their analysis progress on attraction and retention strategies, workforce forecasting, identification of key capability areas and the implementation of specific employment strategies (including People with a Disability, Apprenticeships and Traineeships as a minimum).

CONTACT: STRATEGIC HR, CHIEF MINISTER'S DEPARTMENT PH 620 76502.

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## C.7 Staffing Profile

### REPORT DESCRIPTOR

Agencies are required to provide staffing information and show the total number of employees (by paid headcount **and** Full Time Equivalent (FTE) as at the last pay date at the end of each financial year), including the Executives, utilising workforce data centrally generated by Shared Services (Treasury) for Chris21 Agencies only. Agencies that do not utilise Chris21 should generate staffing numbers internally.

Staffing profile reports must contain the following information and include a breakdown of the numbers of men and women under each category.

- classification;
- category of employment;
- average length of service; and
- age profile expressed in five year increments.



## C.8 Learning and Development

### REPORT DESCRIPTOR

Agencies must report on how their learning and development programs and activities have during the reporting year have ensured skills and knowledge are retained and enhanced within the agency.

Agencies must:

- provide a brief outline of learning and development programs delivered to enhance staff capabilities in key output areas including participation rates and budget commitments;
- report on their commitment to whole of government learning and development initiatives, for example participation in the Graduate program; and
- outline the status of their Performance Management policy and practice across all work levels within the agency.

CONTACT: STRATEGIC HR, CHIEF MINISTER'S DEPARTMENT PH 620 76502.

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## C.9 Workplace Health and Safety

### BASIS OF REQUIREMENT

Section 209A of the *Occupational Health and Safety Act 1989* (the OH&S Act), as modified by the *Public Sector Management Act 1994*.

### REPORT DESCRIPTOR

1. Section 209A of the *Occupational Health and Safety Act 1989* (the OH&S Act), as modified by the *Public Sector Management Act 1994* (PSMA), requires administrative units and Territory Instrumentalities (see PSMA definition and exceptions) to report on the following:

- the OH&S policy of the agency during the financial year, including details of:
  - any agreements with employees about occupational health and safety;
  - the establishment of occupational health and safety committees; and
  - the selection of health and safety representatives;
- measures taken during the year to ensure the health, safety and welfare at work of all staff;
- statistics about accidents or dangerous occurrences that required the giving of notices under section 204 of the OH&S Act;
- any investigations conducted during the year, including details of all:
  - tests conducted on any plant, substance or thing in the course of such investigations;
  - notices given to the relevant agency under sections 146, 155 and 212 of the OH&S Act;
  - compliance agreements (s140) and enforceable undertakings (s169) involving the agency under section 140 and section 169 of the OH&S Act. Statistical reports of accidents/ dangerous occurrences are available from the Workplace Injury Prevention team; and
  - details of injury prevention programs initiated or implemented during the year.

Statistical reports of accidents/ dangerous occurrences are available from the Workplace Injury Performance team at the Chief Minister's Department.



2. Agencies that pay a first-tier workers' compensation premium and have 500 or more full time equivalent employees (FTE's) are also required to report on their performance against the following four improvement targets:

- incidence of workplace injuries (no. of workers' compensation claims with 5 or more days time off work per 1000 employees) compared to the target of a 40% reduction in this indicator over the period 2002 to 2012;
- incidence of work-related fatalities compared to the target of zero fatalities by 2012;
- average lost-time rate (average no. of weeks time off work for workers' compensation per 1000 employees) compared to the target of a 40% reduction in this indicator over the period 2002 to 2012; and
- average time taken for rehabilitation intervention (average time taken from Date of Injury to Date of Rehabilitation Assessment) compared to the target of a 90% reduction in this indicator over the period 2002 to 2012.

Agencies should include advice of any activities undertaken to enable the agency to improve their performance against any of the above indicators.

3. Agencies should frame their Workplace Health and Safety section along the lines of the ACTPS Workplace Health Strategic Plan 2008-2012 (available from the Workplace Injury Performance team at the Chief Minister's Department).

CONTACT: WORKPLACE INJURY PERFORMANCE UNIT, OFFICE OF INDUSTRIAL RELATIONS, CHIEF MINISTER'S DEPARTMENT PH 620 72178 FAX 620 76775

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## C.10 Workplace Relations

### BASIS OF REQUIREMENT

Government policy regarding remuneration arrangements through Special Employment Agreements. Reporting on Special Employment Agreements (SEA's) is compulsory for reporting entities who have SEA's included in their industrial instruments (Certified Agreements).

### REPORT DESCRIPTOR

Agencies must report on their workplace relation arrangements for the reporting year and should include any other relevant information and reporting that may be required under their Agency Certified Agreement.

Agencies must also report on:

- total number of staff covered by Special Employment Arrangements (SEAs);
- number of SEAs entered into;
- number of SEAs currently being negotiated;
- the remuneration payable in the classifications for collective and individual SEAs;
- the number of SEAs providing for privately plated vehicles;
- number of SEAs for employees who have transferred from Australian Workplace Agreements (AWAs);
- total number of staff covered by AWAs;
- number of AWAs terminated/lapsed (including formal terminations and those that have lapsed due to staff departures);
- duration of the AWA; and
- the range of remuneration payable in the classifications for collective and individual AWAs.

CONTACT: WORKPLACE RELATIONS, CHIEF MINISTER'S DEPARTMENT PH 62076207.

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## C.11 Strategic Bushfire Management Plan (SBMP)

### BASIS OF REQUIREMENT

An agency which is either a manager of unleased Territory Land or the owner (ie: lessee or occupier) of Territory Land has reporting requirements under the *Emergencies Act 2004* (Section 85).

The ACT Emergency Services Authority (ESA) will contact agencies that are required to prepare a Bushfire Operational Plan under the SBMP. If your agency has not been notified of the requirement to prepare a Bushfire Operational Plan by the ESA and have not received any directions from the Minister relating to undertaking activities under the SBMP, your agency is not required to report against this section.

### REPORT DESCRIPTOR

The annual report must give an account of the operations of the agency in relation to the strategic bushfire management plan (including any bushfire operational plan approved under the plan) for each area of unleased Territory land, or land occupied by the Territory, used by the agency or someone on behalf of the agency.

The account must include the information the Minister directs in writing, and must include particulars of the direction and the measures taken to give effect to it during that year.

CONTACT: ACT RURAL FIRE SERVICE, ACT EMERGENCY SERVICES AUTHORITY PH 620 78609.

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## C.12 Strategic Asset Management

### BASIS OF REQUIREMENT

ACT Government Asset Management Strategy and the Financial Management Act.

### REPORT DESCRIPTOR

Entities are required to report on their Asset Management Strategy and address any additional asset management reporting obligations included in other agency documents. Reports should be structured in the following format and include (but are not limited to) the provision of the following information:

1. Assets Managed – Asset managed including information on their value and quantity, new assets, asset disposals and the identification of surplus property.

- The Agency managed assets with a total value of \$xxx,xxx m as at 30 June (*in the relevant year*)
- Assets managed include:- show asset grouping and an appropriate measure eg:

Built property assets (by type i.e. school, health, community, etc.) →	no. of properties
Land: →	area
Infrastructure (eg roads, bridges, traffic signals): →	number/km
Urban parks: →	area
Other ... →	(xxxxx)

- During (*the relevant financial year*) the following assets were added to the Agency's asset register.  
*Insert asset details*
- During (*the relevant financial year*) the following assets were removed from the Agency's asset register  
*Insert asset and reason for disposal*
- On 30 June (*in the relevant financial year*) the agency had 'xx' properties which were not being utilised by the agency or have been identified as potentially surplus. These are:-  
Insert asset and action ie leased to non-government organisations, vacant, under evaluation, to be transferred to Property Group – TAMS, being used by other government agencies.

## 2. Assets Maintenance and Upgrade – including information on asset upgrades and condition of assets.

- Asset upgrades (not including works funded and reported through the capital works program) completed during (*the relevant financial year*) were:  
*Insert asset and summary of upgrade*
- For (asset type) the expenditure on repairs and maintenance was '\$xxx,xxx' which represented 'xx' percent of the asset replacement value (or other appropriate performance measure)
- The Agency conducted 'xx' audits (condition, hazardous materials, building etc.) of its assets (*in the relevant financial year*).  
*Insert asset type, percentage of assets audited, condition of asset*

## 3. Office Accommodation – Office accommodation including details of office utilisation rates at 30 June (*in the relevant financial year*), buildings occupied; area occupied in each building; and number of occupants in each building.

- The Agency employs 'x,xxx' employees occupying 'xx,xxx' m<sup>2</sup> at the following sites.  
Location 1 (*insert building name, number of staff and area occupied*)  
Location 2; etc...  
The average area occupied by each employee is 'xx.x' m<sup>2</sup>

(For information surrounding office utilisation rates contact Accommodation Strategy and Leasing, Ph: 6213 0742)

- A further 'x,xxx' staff (FTE) are employed in non-office environments. These include:  
'xxx' staff in (*insert building type and function ie schools/teachers, depots/rangers, hospital/medical*).

**CONTACT: STRATEGIC ASSET MANAGEMENT, PROPERTY GROUP, DEPARTMENT OF TERRITORY & MUNICIPAL SERVICES PH 6207 6908.**

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## C.13 Capital Works

### BASIS OF REQUIREMENT

### Annual Report Directions



## REPORT DESCRIPTOR

Entities should provide an informed review of their capital works program expenditure, and highlight achievements during the year, particularly from the view of key stakeholders and service delivery.

Reports should be structured in the following format and include:

1. Completed projects:
  - show all projects completed during the year;
  - show final costs versus original estimates; and
  - show completion dates versus original estimates.
2. Works still in progress at year end:
  - show year of approval;
  - show revised completion dates; and
  - show revised total project value.
3. A reconciliation of approved financing, expenditure and the agency's financial statements.
4. The contact details of the relevant capital works officer.

These requirements can be presented in a capital works table format, similar to the quarterly reporting templates agencies are already familiar with:

### **The Capital Works Table**

Projects should be identified individually and split by:

- new works;
- works in progress;
- completed projects; and
- physically but not financially completed projects should be identified.

Projects should also be split by segment or business unit (eg. roads, hospital, division).

Examples of columns for the table that would meet the information requirements:

- Project (purpose of capital works that were not immediately apparent);
- Estimated completion date;
- Actual completion date (for completed projects);
- Original project value;
- Revised project value;
- Prior year expenditure;
- Current year expenditure; and
- Total expenditure to date.

Summarise by new works, works in progress and completed projects.

An additional table of Territorial capital works projects may be relevant.

### **The Reconciliation Schedules**

Agencies need to provide reconciliations of:

- approved current year capital works program financing to capital injection as per cashflow statement;
- current year expenditure to capital injection as per cashflow statement; and
- current year expenditure to purchases of property, plant and equipment as per cash flow statement.

Further assistance in the preparation of these schedules can be obtained from the Treasury contact below.





## C.14 Government Contracting

### BASIS OF REQUIREMENT

*Government Procurement Act 2001*

### REPORT DESCRIPTOR

Entities should report on their procurement and contracting activities including compliance with the *Government Procurement Act 2001* and *Government Procurement Regulation 2007*.

Reports should be structured in the following format and include:

#### 1. Procurement Principles and Processes

Provide a narrative assessment of expenditure on contractors for works or services (ie external sources of labour and services), including strategic partnerships, by theme or type of service, to provide a clear view to what extent funds are being expended on particular types of services or functions.

Statements to confirm that:

- procurement selection and management processes for all contractors including consultants complied with the *Government Procurement Act 2001* and *Government Procurement Regulation 2007*. Particular reference is to be given to the management of consultancy type contracts; and
- procurement processes above \$20,000 have been reviewed by ACT Procurement Solutions, and, if necessary, by the Government Procurement Board consistent with the provisions of the *Government Procurement Regulation 2007*.

[NOTE: Instances of non-compliance with the above must be individually summarised]

Statements in relation to contractors for works and services to outline measures taken:

- by the agency to ensure compliance with their employee and industrial relations obligations; and
- by the contractor where procurement audit findings have identified non-compliance with employee and industrial relations obligations.

#### 2. External Sources of Labour and Services

The following contracts for works and services, including consultancy type contracts, must be reported by output category:

- individual contracts which exceed \$20,000; and
- smaller contracts awarded to the same contractor which, in total, exceed \$20,000.

Information to be reported is to include for each contract (or group of contracts):

- description and reason for contract;
- total expenditure (GST exclusive) in the financial year;
- procurement type – either open tender, select tender, single select, or standing offer arrangements (either common use arrangements eg NSW Department of Commerce contracts, or whole of government panel);
- date contract let; and





- reason for use of Select Tender procurement process, if applicable, for contracts of value greater than \$100,000.

#### Additional information required for Construction Contracts

The following information on contracts for works or services related to construction projects must be reported:

- contracts established with non pre-qualified contractors. [Note Construction contractors must be pre-qualified where a construction project (works) is over \$100,000 and, for services, where a project value is over \$50,000 or a study value is over \$10,000]; and
- reason for use of non pre-qualified construction contractors.

Agencies should not report on Commonwealth funded projects, projects funded from other agencies, or services provided by another ACT Government agency.

**CONTACT: PROCUREMENT POLICY, ACT PROCURMENT SOLUTIONS, DEPARTMENT OF TREASURY, TELEPHONE 620 72625.**

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## **C.15 Community Grants/Assistance/Sponsorship**

### **BASIS OF REQUIREMENT**

#### *Annual Report Descriptions*

### **REPORT DESCRIPTOR**

Agencies must provide details of community grants/assistance/sponsorship provided by the agency, including recipient and amount, and an outline of the purpose of the grants, assistance or sponsorship.

**CONTACT: STRATEGIC HR, CHIEF MINISTER'S DEPARTMENT PH 620 76502.**

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## **C.16 Territory Records**

### **BASIS OF REQUIREMENT**

#### *Territory Records Act 2002*

### **REPORT DESCRIPTOR**

Principal Officers of each Territory agency report on their agency compliance with the *Territory Records Act 2002* including the implementation and operation of their agency Records Management Program that establishes the basis by which an agency makes and keeps full and accurate records of its activities.

Each Report should provide a statement that:

- The agency has a current Records Management Program that has been approved by the agency Principal Officer and submitted to the Director of Territory Records
- Records Management Procedures have been created and implemented throughout the agency
- Appropriate training and resources are available to staff throughout the agency
- Lists the approved agency specific Records Disposal Schedules by name and Notifiable Instrument Number
- Outlines the arrangements for preserving records containing information that may allow people to establish links with their Aboriginal or Torres Strait Islander heritage.
- The agency has responded to the commencement of Part 3 of the *Territory Records Act 2002* - Access to records, including details of the assessment of those records to which Section 28

of the Act may apply and outline the development of tools which will help members of the community to interpret those older records of the agency which are open for public access.

CONTACT: TERRITORY RECORDS ,TERRITORY & MUNICIPAL SERVICES PH 620 70194.

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## C.17 Human Rights Act 2004

### BASIS OF REQUIREMENT

The *Human Rights Act 2004* is part of the Government's commitment to build a human rights culture in the ACT and ensure that Territory managers are working within a human rights framework.

The *Human Rights Amendment Act 2008* enters into force on 1 January 2009 and has some key new provisions. The HRA currently requires the Attorney-General and the Standing Committee on Legal Affairs to alert the Legislative Assembly about the human rights consistency and implications of proposed legislation; enables the Territory Supreme Court to issue a declaration of incompatibility if it finds it impossible to interpret a law consistently with human rights and requires all persons and agencies, including Territory courts and tribunals, to interpret Territory legislation consistently with human rights. The amended HRA will provide that it is unlawful for a 'public authority' to act in a way that is incompatible with a human right or to fail to give proper consideration to a relevant human right when making a decision and victims of alleged violations of human rights will be able to commence legal proceedings if these obligations are not observed. 'Public authority' is defined in the amendments and includes public servants.

The Human Rights Commission was established by the *Human Rights Commission Act (2005)*. There are three Commissioners: the Human Rights and Discrimination Commissioner; the Health Services Commissioner; and the Disability and Community Services & Children and Young People Commissioner. The functions of the Human Rights Commission with respect to human rights are to review the effect of Territory laws on human rights, to provide human rights education, and to advise the Attorney-General on anything relevant to the HRA. The Human Rights Commissioner exercises the human rights functions for the Commission, as well as the additional power under the Human Rights Act to seek leave to intervene in relevant court cases.

### REPORT DESCRIPTOR

The *Human Rights Act 2004* (HRA) commenced operation on 1 July 2004. From that date all public officials have a duty to interpret legislation under which they operate consistently with human rights, unless the Territory law clearly authorises otherwise. All Government agencies are required to include in their annual reports information about the measures they have taken to 'respect, protect and promote human rights'.

Agencies must report on implementation strategies and progress in incorporating human rights standards into their operations, with reference to the following

- Education and training of agency staff on human rights principles. Agencies should report on the number of training sessions; provide a description of the staff who attended these training sessions (eg, legal, policy etc); and report on who provided the training. (For example, was the training one of the sessions regularly held by the Human Rights Commission?)
- Internal dissemination of information to agency staff on the legislative scrutiny process. Agencies should report on whether they distributed Human Rights Commission brochures or Department of Justice and Community Safety publications, and on the documentation developed by the agency itself in relation to human rights issues of concern to that agency.
- Liaison with the Human Rights Unit on human rights principles and/or the legislative scrutiny process. Agencies should report on the number of cabinet submissions prepared; the human rights issues identified as part of the cabinet submission process (without breaching confidentiality); the number of compatibility statements issued in relation to new legislative

proposals developed by the agency; and a description of any issues that had to be resolved after consultation with the Human Rights Unit.

- Reviews or preparations for reviews of existing legislation for compatibility with the HRA. Agencies should report on the human rights issues raised by the existing legislative framework in which they operate; the process for identifying any necessary legislative amendments; and, if no reviews have been undertaken, the reasons for not reviewing legislation, and a timetable for future review.
- Litigation

Agencies should report on any cases before courts or tribunals which have involved arguments concerning the HRA, along with responses to relevant decisions.

CONTACT: HUMAN RIGHTS UNIT, DEPT JUSTICE & COMMUNITY SAFETY PH 620 70589.

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## **C.18 Commissioner for the Environment**

### **BASIS OF REQUIREMENT**

Section 23 of the *Commissioner for the Environment Act 1993*.

### **REPORT DESCRIPTOR**

Section 23 of the *Commissioner for the Environment Act 1993* requires agencies to report on the following:

- requests for staff to assist in the preparation of the State of the Environment Report;
- assistance provided in response to such a request;
- investigations carried out by the Commissioner of any activities carried out by the agency; and
- recommendations made by the Commissioner following an investigation of the agency's activities, and any actions taken in response to those recommendations.

CONTACT: OFFICE OF THE COMMISSIONER FOR SUSTAINABILITY AND THE ENVIRONMENT, PH 620 72626.

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## **C.19 ACT Multicultural Strategy**

### **BASIS OF REQUIREMENT**

ACT Multicultural Strategy

### **REPORT DESCRIPTOR**

Agencies must report on their progress against the relevant strategic areas and any other steps taken towards meeting the goals of the Strategy based on the ten key themes of the ACT Multicultural Strategy detailed below:

- human rights;
- access and equity;
- ageing and aged care issues;
- cultural and religious acceptance;
- language policy;
- leadership and governance;
- migration of parents;
- settlement services for newly arrived migrants;
- terrorism; and
- young people.



The ACT Multicultural Strategy can be accessed at :

<http://www.dhcs.act.gov.au/community/mau/pubs.htm> or

<http://www.dhcs.act.gov.au/community/mau/documents/multicultural%20strategy.pdf>

CONTACT: ACT OFFICE OF MULTICULTURAL AFFAIRS, DEPARTMENT OF DISABILITY, HOUSING AND COMMUNITY SERVICES PH 620 70555.

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## **C.20 Aboriginal and Torres Strait Islander Reporting**

### **BASIS OF REQUIREMENT**

- (a) Individual agency performance indicators for improving outcomes for Indigenous clients
- (b) Supporting whole of government approach to Indigenous policy.

### **REPORT DESCRIPTOR**

- (a) Agencies must report annual progress against nominated performance indicators in relation to their respective services and programs that they deliver to Indigenous clients as well as, where possible, extent that mainstream services and programs are accessed by Indigenous clients.
- (b) Agencies must report annually on their progress against the relevant strategic areas for action and change indicators outlined in the *Overcoming Indigenous Disadvantage Report* produced by the Productivity Commission. Strategic change indicators (for each action area) measure whether government policies and programs are making a positive difference to the lives of Indigenous Canberrans.
- (c) The strategic indicators for action are: early child development and growth (prenatal to age 3); early school engagement and performance (preschool to Year 3); positive childhood and transition to adulthood; substance use and misuse; functional and resilient families and communities; effective environmental health systems; and economic participation and development.

CONTACT: OFFICE OF ABORIGINAL & TORRES STRAIT ISLANDER AFFAIRS DEPARTMENT OF DISABILITY, HOUSING AND COMMUNITY SERVICES PH 620 52507

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## **C.21 Ecologically Sustainable Development**

### **BASIS OF REQUIREMENT**

Section 158A of the Environment Protection Act 1997 requires agencies to report on the following in their annual reports:

- how the actions of, and the administration (if any) of legislation by, the reporter during the period accorded with the principles of ecologically sustainable development;
- how the outputs (if any) specified for the reporter in budget papers presented to the Legislative Assembly with an Appropriation Act relating to the period contributed to ecologically sustainable development;
- the effect of the reporter's actions on the environment, including details of the reporter's compliance with relevant environmental laws and standards and any contravention of a particular environmental law or standard;
- identify any measures the reporter is taking to minimise the impact of actions by the reporter on the environment; and



- identify the mechanisms (if any) for reviewing and increasing the effectiveness of those measures.

Ecologically Sustainable Development means the effective integration of economic, social and environmental considerations in decision-making processes and to be achievable through implementation of the following principles:

- the precautionary principle, namely, that if there is a threat of serious or irreversible environmental damage, a lack of full scientific certainty should not be used as a reason for postponing measures to prevent environmental degradation;
- the inter-generational principle, namely, that the present generation should ensure that the health, diversity and productivity of the environment is maintained or enhanced for the benefit of future generations;
- conservation of biological diversity and ecological integrity; and
- improved valuation and pricing of environmental resources.

This requirement is reinforced by the Government's 2003 sustainability policy, *People Place Prosperity*, which emphasises the importance of the ecological dimension of sustainability, along with the social and economic dimensions.

### Report descriptor

The following guidance is provided to assist agencies to meet this statutory reporting requirement:

- How Agency actions and administration of legislation accorded with the principles of ESD;
- The contribution of agency outputs to ESD;
- The effects of the agency outputs to ESD;
- Identification of any measures taken to minimise the impacts of these effects; and
- Description of mechanisms used for reviewing and increasing the effectiveness of these measures.

Addressing ESD will require the establishment of policies, programs and practices that relate to:

- a. an agency's operation; and
- b. an agency's core business.

The Government has made commitments requiring agencies to address policies, programs and practices within:

- waste minimisation (NoWaste);
- greenhouse emission reductions (Weathering the Change: ACT Climate Change Strategy 2007-2025);
- water efficiency (Water Resources Strategy); and
- transport efficiency (Sustainable Transport Plan and the Chief Minister's directive for fuel efficiency in the ACT Government fleet).

a) As part of their direct **operations**, agencies are required to report on their resource use as follows, subject to the general caveat regarding data collection.

### Transport

Agencies are required to report quantitative data for their vehicle fleets against the following indicators:

- total number of vehicles (as at 30 June of the reporting year);
- total transport fuel used by type (ie litres of petrol, diesel, LPG, CNG) for the reporting year; and
- total greenhouse emissions (tonnes of CO<sub>2</sub>e) of the fleet for the reporting year.

### Energy Use



Agencies are required to report quantitative data for their office energy use against the following indicators:

- electricity use – megajoules /person per annum – number of FTE staff; and
- gas use – megajoules/person per annum – number of FTE staff.

Agencies may also wish to report on electricity and gas use as a function of office floor space attributed to the Agency.

Agencies are required to report quantitative data for their non-office energy use against the following indicators:

- electricity use – megajoules/m<sup>2</sup> – net lettable area; and
- gas use – megajoules/m<sup>2</sup> – net lettable area.

### **Greenhouse Emissions**

Agencies are required to report quantitative data for their office greenhouse emissions against the following indicators:

- total greenhouse emissions direct and indirect in tonnes; and
- greenhouse emissions – tonnes/person per annum – number of FTE staff.

Agencies are required to report quantitative data for their non-office greenhouse emissions against the following indicators:

- greenhouse emissions – tonnes/m<sup>2</sup> per annum – net lettable area at 30 June.

Agencies may also wish to report on greenhouse emissions as a function of end-use.

### **Water Consumption**

Agencies are required to report quantitative data for their office water use against the following indicators:

- water use – kilolitres/person per annum – number of FTE; and
- water use – kilolitres/m<sup>2</sup> per annum– net lettable office area.

Agencies are required to report quantitative data for their non-office water use against the following indicators:

- water use – kilolitres/person per annum – number of people, staff and other users;
- water use – kilolitres/m<sup>2</sup> per annum– net lettable area;
- main purposes for which water is used; and
- initiatives implemented to improve water use and forecast water savings.

### **Resource Efficiency and Waste**

Agencies are required to report quantitative data for their waste generation against the following indicators:

- estimate of total residual waste (kgs) per FTE;
- estimate of total paper recycled (calculation based on number of blue bins / secure bins [capacity 240 litres] x rates of collection);
- total paper used (by reams) per full time equivalent;
- total recycled content paper used by percentage of recycled content (A4 equivalent sheets); and
- details of waste systems provided – compostable, cardboard, recyclables, other – and estimate of capacity per full time equivalent.

### **Data Collection of Agency Resource Use**

If agency specific data is unable to be disaggregated, the data should represent the average from the whole of building data. If the data is unable to be collected, at either building or agency level, an explanation of data difficulties should be provided and the mechanisms being pursued to ensure data collection in future years.

b) As part of the **core business** of an agency, actions and outputs relating to ESD can include:

- development, implementation and review of policies, plans, programs;



- enforcement and implementation of legislation;
- provision of goods and services;
- provision of funds, eg for community groups or individuals;
- provision of payments or funding under statutory programs; and
- the granting, renewal or denial of permits, licences, approvals, accreditation, under legislation.

Measures taken to minimise the impact of these actions and outputs could include:

- requirements for the consideration of environmental impacts prior to decision-making activities;
- formal procedures for environmental impact assessment of proposed activities;
- the use of environment management systems in the organisation, for example ISO14001;
- measures undertaken to reduce the environmental impact of agency actions; and
- description of internal evaluation regimes, environmental auditing, benchmarks or targets, which the agency has in place to increase the effectiveness of impact reduction measures; and time series reporting against agreed indicators to enable a review of the effectiveness of measures.

CONTACT: SUSTAINABILITY POLICY AND LEGISLATIVE SERVICES, TERRITORY AND MUNICIPAL SERVICES 62052842

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## **C.22 ACT Women's Plan 2004-2009**

### **BASIS OF REQUIREMENT**

To report on the ACT Government's vision for working with the community to improve the status of all women and girls. The ACT Women's Plan provides a shared approach for working towards this vision across ACT Government agencies. The Plan identifies six key objectives for agencies to work towards achieving.

### **REPORT DESCRIPTOR**

Agencies must report on their contribution to the achievement of the objectives of the ACT Women's Plan. Agencies should report on their actions undertaken and outcomes achieved, with particular reference to the relevant "indicators of success" listed in each section of the Plan, under the following objectives:

- Objective One: Representation and recognition
- Objective Two: Good health and wellbeing
- Objective Three: Responsive housing
- Objective Four: Safe, inclusive communities
- Objective Five: Economic security and opportunities
- Objective Six: Flexible education and training

The ACT Women's Plan can be accessed at: <http://www.women.act.gov.au>

CONTACT: ACT OFFICE FOR WOMEN, DEPARTMENT OF DISABILITY, HOUSING AND COMMUNITY SERVICES PH 620 72552.

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# Attachments

## Attachment 1: Administrative Units, including responsibility for Annexed and Subsumed reports

Administrative Unit	2007-2008 Reports to be tabled
ACT Health	<b>Ministerial Reports</b> ACT Health  <b>Subsumed and Annexed Reports</b> Chairperson, Chiropractors and Osteopaths Board Chairperson, Dental Board Chairperson, Dental Technicians and Dental Prosthetists Registration Board Chairperson, Medical Board Chairperson, Nurses Board Chairperson, Optometrists Board Chairperson, Pharmacy Board Chairperson, Physiotherapists Board Chairperson, Podiatrists Board Chairperson, Psychologists Board Chairperson, Radiation Council Chairperson, Veterinary Surgeons Board Chief Psychiatrist Human Research Ethics Committee Mental Health ACT Official Visitor
ACT Planning & Land Authority	<b>Ministerial Reports</b> ACT Planning & Land Authority  <b>Subsumed and Annexed Reports</b> ACT Architects Board COLA Building Advisory Board COLA Electrical Advisory Board COLA Plumbing Advisory Board
Chief Minister's Department	<b>Ministerial Reports</b> Chief Minister's Department Commissioner for Public Administration ACT Cleaning Industry Long Service Leave Board ACT Construction Industry Long Service Leave Board Cultural Facilities Corporation Land Development Agency  <b>Subsumed and Annexed Reports</b> Default Insurance Fund Occupational Health and Safety Council Land and Property Joint Ventures (Land Development Agency) ACT Executive





Administrative Unit	2007-2008 Reports to be tabled
Department of Disability, Housing & Community Services	<b>Ministerial Reports</b> Department of Disability, Housing & Community Services  <b>Subsumed and Annexed Reports</b> Commissioner for Social Housing Official Visitor – <i>Children and Young People Act 1999</i>
Department of Education & Training	<b>Ministerial Reports</b> Department of Education & Training Building and Construction Industry Training Fund Authority  <b>Subsumed and Annexed Reports</b> ACT Accreditation and Registration Council Board of Senior Secondary Studies Government School Education Council Non-Government School Education Council
Department of Justice & Community Safety	<b>Ministerial Reports</b> Department of Justice & Community Safety ACT Public Advocate ACT Electoral Commission ACT Ombudsman Director of Public Prosecutions Human Rights Commission Independent Competition and Regulatory Commission Legal Aid Commission Public Trustee for the ACT Victims of Crime Co-ordinator  <b>Subsumed and Annexed Reports</b> Liquor Licensing Board ACT Administrative Appeals Tribunal Chief Coroner of the Australian Capital Territory Essential Services Consumer Council Guardianship and Management of Property Tribunal Mental Health Tribunal Official Visitor – Remand Sentence Administration Board Australian Capital Territory Credit Tribunal Discrimination Tribunal Residential Tenancies Tribunal Occupational Health and Safety Commissioner Consumer Trade Tribunal Health Professional Tribunal Emergency Services Agency



Administrative Unit	2007-2008 Reports to be tabled
Department of Territory and Municipal Services	<p><b>Ministerial Reports</b>  Department of Territory and Municipal Services  Commissioner for the Environment  ACT Public Cemeteries Authority</p> <p><b>Subsumed and Annexed Reports</b>  ACTION  ACT Heritage Council  Animal Welfare Authority  Conservator of Flora and Fauna  Environment Protection Authority  Director of Territory Records  Australian Capital Tourism</p>
Department of Treasury	<p><b>Ministerial Reports</b>  Department of Treasury  ACTEW Corporation  ACT Government Procurement Board  ACT Insurance Authority  ACTTAB Ltd  Exhibition Park Corporation  Gambling and Racing Commission  Nominal Defendant  Rhodium Asset Solutions  Totalcare Industries</p> <p><b>Subsumed and Annexed Reports</b>  Commissioner for ACT Revenue  Territory Banking Account  Home Loan Portfolio  Shared Service Centre  Superannuation Provision Account</p>



## Attachment 2: Responsible Minister for 2007 –2008 Annual Reports

Ministerial Portfolio	2007-2008 Reports to be Tabled
Minister for the Arts	<b>Ministerial reports</b> Cultural Facilities Corporation
Attorney General	<b>Ministerial reports</b> Department of Justice & Community Safety ACT Public Advocate ACT Electoral Commission ACT Ombudsman Director of Public Prosecutions Human Rights Commission Independent Competition and Regulatory Commission Legal Aid Commission Public Trustee for the ACT Victims of Crime Co-ordinator  <b>Subsumed and Annexed reports</b> ACT Administrative Appeals Tribunal Chief Coroner of the Australian Capital Territory Essential Services Consumer Council Guardianship and Management of Property Tribunal Mental Health Tribunal Official Visitor – Remand Sentence Administration Board Australian Capital Territory Credit Tribunal Discrimination Tribunal Residential Tenancies Tribunal Liquor Licensing Board Occupational Health and Safety Commissioner Consumer Trade Tribunal Health Professional Tribunal
Chief Minister	<b>Ministerial reports</b> Chief Minister's Department Commissioner for Public Administration Land Development Agency  <b>Subsumed and Annexed reports</b> Land and Property Joint Ventures (Land Development Agency) ACT Executive
Minister for Children & Young People	<b>Ministerial reports</b> Department of Disability, Housing & Community Services Department of Justice & Community Safety  <b>Subsumed and Annexed reports</b> Official Visitor – <i>Children and Young People Act 1999</i>



<b>Ministerial Portfolio</b>	<b>2007-2008 Reports to be Tabled</b>
Minister for Education and Training	<p><b>Ministerial reports</b>  Department of Education and Training  Building and Construction Industry Training Fund Authority</p> <p><b>Subsumed and Annexed reports</b>  ACT Accreditation and Registration Council  Board of Senior Secondary Studies  Government School Education Council  Non-Government School Education Council</p>
Minister for Health	<p><b>Ministerial reports</b>  ACT Health</p> <p><b>Subsumed and Annexed reports</b>  Chairperson, Chiropractors and Osteopaths Board  Chairperson, Dental Board  Chairperson, Dental Technicians and Dental Prosthetists Registration Board  Chairperson, Medical Board  Chairperson, Nurses Board  Chairperson, Optometrists Board  Chairperson, Pharmacy Board  Chairperson, Physiotherapists Board  Chairperson, Podiatrists Board  Chairperson, Psychologists Board  Chairperson, Radiation Council  Chairperson, Veterinary Surgeons Board  Chief Psychiatrist  Human Research Ethics Committee  Mental Health ACT Official Visitor</p>
Minister for Housing	<p><b>Subsumed and Annexed reports</b>  Commissioner for Social Housing (Department of Disability, Housing &amp; Community Services)</p>
Minister for Industrial Relations	<p><b>Ministerial reports</b>  ACT Cleaning Industry Long Service Leave Board  ACT Construction Industry Long Service Leave Board</p> <p><b>Subsumed and Annexed reports</b>  Default Insurance Fund  Occupational Health and Safety Council</p>
Minister for Planning	<p><b>Ministerial reports</b>  ACT Planning and Land Authority</p> <p><b>Subsumed and Annexed reports</b>  ACT Architects Board  COLA Building Advisory Board  COLA Electrical Advisory Board  COLA Plumbing Advisory Board</p>
Minister for Police and Emergency Services	<p><b>Subsumed and Annexed reports</b>  Emergency Services Agency (Department of Justice &amp; Community Safety)</p>



<b>Ministerial Portfolio</b>	<b>2007-2008 Reports to be Tabled</b>
Minister for Territory and Municipal Services	<b>Ministerial reports</b> Department of Territory & Municipal Services ACT Public Cemeteries Authority  <b>Subsumed and Annexed reports</b> ACTION Director of Territory Records
Treasurer	<b>Ministerial reports</b> Department of Treasury ACTEW Corporation ACT Government Procurement Board ACT Insurance Authority ACTTAB Ltd Exhibition Park Corporation Gambling and Racing Commission Nominal Defendant Rhodium Asset Solutions Totalcare Industries  <b>Subsumed and Annexed reports</b> Commissioner for ACT Revenue Territory Banking Account Home Loan Portfolio Shared Service Centre Superannuation Provision Account
Minister for the Environment, Water & Climate Change	<b>Ministerial reports</b> Department of Territory and Municipal Services Commissioner for the Environment  <b>Subsumed and Annexed reports</b>  ACT Heritage Council Animal Welfare Authority Conservator of Flora and Fauna Environment Protection Authority
Minister for Disability and Community Services	<b>Ministerial reports</b> Department of Disability, Housing & Community Services
Minister for Tourism, Sports & Recreation	<b>Subsumed and Annexed reports</b> Australian Capital Tourism (Department of Territory and Municipal Services)
Minister for Ageing	<b>Ministerial reports</b> Department of Disability, Housing & Community Services
Minister for Business and Economic Development	<b>Ministerial reports</b> Chief Minister's Department
Minister for Indigenous Affairs	<b>Ministerial reports</b> Department of Disability, Housing & Community Services



<b>Ministerial Portfolio</b>	<b>2007-2008 Reports to be Tabled</b>
Minister for the Arts	<b>Ministerial reports</b> Chief Minister's Department
Minister for Women	<b>Ministerial reports</b> Department of Disability, Housing & Community Services
Minister for Multicultural Affairs	<b>Ministerial reports</b> Department of Disability, Housing & Community Services



### Attachment 3: Reporting Entity Responsibilities

Each of the persons or public authorities specified in the table below is directed under sections 5, 6, and 7 of the Act to provide reports in accordance with the requirements set out in the table.

Person providing Report	Type of Report and person to whom Report is to be provided	Form and content of Report	Date by which Report is to be provided
Commissioner for Public Administration	Report on the management of the public service as a whole during each financial year, to be provided to the Chief Minister.	In accordance with the relevant guidelines contained in the 2007-2008 Annual Report Directions.	Date determined by the Chief Minister for presentation
Chief Executive of an administrative unit	Report on the operations of the administrative unit during each financial year, to be provided to the responsible Minister.	In accordance with the relevant guidelines contained in the 2007-2008 Annual Report Directions.	Date determined by the Chief Minister for presentation
Public Authority providing an annexed report	Report on the operations of the authority during each financial year, to be provided to the Chief Executives of the appropriate administrative unit.	In accordance with the relevant guidelines contained in the 2007-2008 Annual Report.	Determined in consultation with CE of the appropriate administrative unit.
Public Authority making an independent report	Report on the operations of the authority during each financial year, to be provided to the responsible Minister.	In accordance with the relevant guidelines contained in the 2007-2008 Annual Report Directions.	Date determined by the Chief Minister for presentation
Public Authority providing a subsumed report	Information about the operations of the authority during each financial year, to be provided to the Chief Executives of the appropriate administrative unit.	In accordance with the relevant guidelines contained in the 2007-2008 Annual Report Directions.	Determined in consultation with CE of the appropriate administrative unit.
Canberra Institute of Technology	Report on the operations of the authority during each year, to be provided to the responsible Minister.	In accordance with the relevant guidelines contained in the 2007-2008 Annual Report Directions.	Within 3 months of the end of the reporting period
Nominal Defendant	Report on the operations of the authority during each year, to be provided to the responsible Minister.	In accordance with the relevant guidelines contained in the 2007-2008 Annual Report Directions.	Within 3 months of the end of the reporting period

## Attachment 4: Compliance Index

### Transmittal Certificate

#### Section A – Performance and Financial Management Reporting:

- A.1 The Organisation;
- A.2 Overview;
- A.3 Highlights;
- A.4 Outlook;
- A.5 Management Discussion and Analysis;
- A.6 Financial Report;
- A.7 Statement of Performance;
- A.8 Strategic Indicators
- A.9 Analysis of Agency Performance.

#### Section B – Consultation and Scrutiny Reporting:

- B.1 Community Engagement;
- B.2 Internal and External Scrutiny;
- B.3 Legislative Assembly Committee Inquiries and Reports;
- B.4 Legislation Report.

#### Section C – Legislative and Policy Based Reporting:

- C.1 Risk and Management and internal Audit;
- C.2 Fraud Prevention;
- C.3 Public Interest Disclosure;
- C.4 Freedom of Information;
- C.5 Internal Accountability;
- C.6 HR Performance;
- C.7 Staffing Profile;
- C.8 Learning and Development;
- C.9 Workplace Health and Safety;
- C.10 Workplace Relations;
- C.11 Strategic Bushfire Management Plan;
- C.12 Strategic Asset Management;
- C.13 Capital Works;
- C.14 Government Contracting;
- C.15 Community Grants/Assistance/Sponsorship;
- C.16 *Territory Records Act 2002* reporting.
- C.17 Human Rights Act 2004;
- C.18 Commissioner for the Environment;
- C.19 ACT Multicultural Strategy;
- C.20 Aboriginal and Torres Strait Islander Reporting;
- C.21 Ecologically Sustainable Development;
- C.22 ACT Women's Plan.





## Attachment B

### Responsible Minister for 2007 –2008 Annual Reports

<b>Ministerial Portfolio (Column 1)</b>	<b>2007-2008 Reports to be Tabled (Column 2)</b>
Minister for the Arts	<b>Ministerial reports</b> Cultural Facilities Corporation
Attorney General	<b>Ministerial reports</b> Department of Justice & Community Safety ACT Public Advocate ACT Electoral Commission ACT Ombudsman Director of Public Prosecutions Human Rights Commission Independent Competition and Regulatory Commission Legal Aid Commission Public Trustee for the ACT Victims of Crime Co-ordinator  <b>Subsumed and Annexed reports</b> ACT Administrative Appeals Tribunal Chief Coroner of the Australian Capital Territory Essential Services Consumer Council Guardianship and Management of Property Tribunal Mental Health Tribunal Official Visitor – Remand Sentence Administration Board Australian Capital Territory Credit Tribunal Discrimination Tribunal Residential Tenancies Tribunal Liquor Licensing Board Occupational Health and Safety Commissioner Consumer Trade Tribunal Health Professional Tribunal
Chief Minister	<b>Ministerial reports</b> Chief Minister's Department Commissioner for Public Administration Land Development Agency  <b>Subsumed and Annexed reports</b> Land and Property Joint Ventures (Land Development Agency) ACT Executive
Minister for Children & Young People	<b>Ministerial reports</b> Department of Disability, Housing & Community Services Department of Justice & Community Safety <b>Subsumed and Annexed reports</b> Official Visitor – <i>Children and Young People Act 1999</i>



<b>Ministerial Portfolio (Column 1)</b>	<b>2007-2008 Reports to be Tabled (Column 2)</b>
Minister for Education and Training	<p><b>Ministerial reports</b>  Department of Education and Training  Building and Construction Industry Training Fund Authority</p> <p><b>Subsumed and Annexed reports</b>  ACT Accreditation and Registration Council  Board of Senior Secondary Studies  Government School Education Council  Non-Government School Education Council</p>
Minister for Health	<p><b>Ministerial reports</b>  ACT Health</p> <p><b>Subsumed and Annexed reports</b>  Chairperson, Chiropractors and Osteopaths Board  Chairperson, Dental Board  Chairperson, Dental Technicians and Dental Prosthetists Registration Board  Chairperson, Medical Board  Chairperson, Nurses Board  Chairperson, Optometrists Board  Chairperson, Pharmacy Board  Chairperson, Physiotherapists Board  Chairperson, Podiatrists Board  Chairperson, Psychologists Board  Chairperson, Radiation Council  Chairperson, Veterinary Surgeons Board  Chief Psychiatrist  Human Research Ethics Committee  Mental Health ACT Official Visitor</p>
Minister for Housing	<p><b>Subsumed and Annexed reports</b>  Commissioner for Social Housing (Department of Disability, Housing &amp; Community Services)</p>
Minister for Industrial Relations	<p><b>Ministerial reports</b>  ACT Cleaning Industry Long Service Leave Board  ACT Construction Industry Long Service Leave Board</p> <p><b>Subsumed and Annexed reports</b>  Default Insurance Fund  Occupational Health and Safety Council</p>



<b>Ministerial Portfolio (Column 1)</b>	<b>2007-2008 Reports to be Tabled (Column 2)</b>
Minister for Planning	<p><b>Ministerial reports</b> ACT Planning and Land Authority</p> <p><b>Subsumed and Annexed reports</b> ACT Architects Board COLA Building Advisory Board COLA Electrical Advisory Board COLA Plumbing Advisory Board</p>
Minister for Police and Emergency Services	<p><b>Subsumed and Annexed reports</b> Emergency Services Agency (Department of Justice &amp; Community Safety)</p>
Minister for Territory and Municipal Services	<p><b>Ministerial reports</b> Department of Territory &amp; Municipal Services ACT Public Cemeteries Authority</p> <p><b>Subsumed and Annexed reports</b> ACTION Director of Territory Records</p>
Treasurer	<p><b>Ministerial reports</b> Department of Treasury ACTEW Corporation ACT Government Procurement Board ACT Insurance Authority ACTTAB Ltd Exhibition Park Corporation Gambling and Racing Commission Nominal Defendant Rhodium Asset Solutions Totalcare Industries</p> <p><b>Subsumed and Annexed reports</b> Commissioner for ACT Revenue Territory Banking Account Home Loan Portfolio Shared Service Centre Superannuation Provision Account</p>
Minister for the Environment, Water & Climate Change	<p><b>Ministerial reports</b> Department of Territory and Municipal Services Commissioner for the Environment</p> <p><b>Subsumed and Annexed reports</b> ACT Heritage Council Animal Welfare Authority Conservator of Flora and Fauna Environment Protection Authority</p>



<b>Ministerial Portfolio (Column 1)</b>	<b>2007-2008 Reports to be Tabled (Column 2)</b>
Minister for Disability and Community Services	<b>Ministerial reports</b> Department of Disability, Housing & Community Services
Minister for Tourism, Sports & Recreation	<b>Subsumed and Annexed reports</b> Australian Capital Tourism (Department of Territory and Municipal Services)
Minister for Ageing	<b>Ministerial reports</b> Department of Disability, Housing & Community Services
Minister for Business and Economic Development	<b>Ministerial reports</b> Chief Minister's Department
Minister for Indigenous Affairs	<b>Ministerial reports</b> Department of Disability, Housing & Community Services
Minister for the Arts	<b>Ministerial reports</b> Chief Minister's Department
Minister for Women	<b>Ministerial reports</b> Department of Disability, Housing & Community Services
Minister for Multicultural Affairs	<b>Ministerial reports</b> Department of Disability, Housing & Community Services



## Attachment C

### Declaration of public authorities

ACT Accreditation and Registration Council
ACT Administrative Appeals Tribunal
ACT Architects Board
ACT Executive
ACT Heritage Council
ACTION
Animal Welfare Authority
Australian Capital Territory Credit Tribunal
Australian Capital Tourism
Board of Senior Secondary Studies
Chairperson, Chiropractors and Osteopaths Board
Chairperson, Dental Board
Chairperson, Dental Technicians and Dental Prosthetists Registration Board
Chairperson, Medical Board
Chairperson, Nurses Board
Chairperson, Optometrists Board
Chairperson, Pharmacy Board
Chairperson, Physiotherapists Board
Chairperson, Podiatrists Board
Chairperson, Psychologists Board
Chairperson, Radiation Council
Chairperson, Veterinary Surgeons Board
Chief Coroner of the Australian Capital Territory
Chief Psychiatrist
Children's Court
COLA Building Advisory Board
COLA Electrical Advisory Board
COLA Plumbing Advisory Board
Commissioner for ACT Revenue
Commissioner for Housing
Conservator of Flora and Fauna
Default Insurance Fund
Director of Territory Records



Discrimination Tribunal
Environment Protection Authority
Essential Services Consumer Council
Government School Education Council
Guardianship and Management of Property Tribunal
Home Loan Portfolio
Human Research Ethics Committee
Land and Property Joint Ventures
Land Development Agency
Liquor Licensing Board
Mental Health ACT Official Visitor
Mental Health Tribunal
Non Government School Education Council
Occupational Health and Safety Commissioner
Occupational Health and Safety Council
Official Visitor – <i>Children and Young People Act 1999</i>
Official Visitor – Remand
Residential Tenancies Tribunal
Sentence Administration Board
Shared Service Centre
Superannuation Provision Account
Tenancy Tribunal
Territory Banking Account
Totalcare Industries
Victims of Crime Co-ordinator

