

Application for Construction Occupation Licence Company or Partnership

Construction Occupations (Licensing) Act 2004, s128 and s17

This approved form is to be used for companies or partnerships applying under the *Construction Occupations* (*Licensing*) Act 2004 to the Construction Occupations Registrar for a company or partnership licence to provide licensable services in the ACT. This application will not be considered unless it is complete and all supporting documentation provided.

All supporting documentation must:

- be originals or certified true copies of original documents where relevant; and
- be in English. If original documents are not in English, you must provide a certified copy of the original document and an official translation of the document.

Privacy Notice

The personal information on this form is provided to the ACT Environment and Planning Directorate (EPD) to enable the processing of your application. If all or some of the personal information is not collected EPD cannot process your application. The personal information you provide may be disclosed to the other ACT Government Directorates, and third parties external to the ACT Government, as required by specific legislations administered by EPD. The Information Privacy Policy Annex contains information in regard to what information EPD collects and to whom it is disclosed. The policy also contains information about how you may access or seek to correct your personal information held by EPD, and how you may complain about an alleged breach of the Territory Privacy Principles. The EPD Information Privacy Policy can be found on the EPD website, under the "About Us" menu.

| Section A — Company or Partnership Details | | | |
|--|-----------------|---|--|
| Entity Name | | | |
| Australian Company Number/Australian Bu | ısiness Numbe | r | |
| Business Address | | | |
| Suburb | _State | Postcode | |
| Postal Address | | | |
| Suburb | _State | Postcode | |
| Phone Number (Business Hours) | | Fax | |
| Mobile Number**Please note that under S170 of the Consprovide a valid mobile telephone number as | struction (Occi | upations) Licensing Act 2004 it is a requirement to | |
| Contact Person**: **Please note licence applications for a contact person for the application. | company or p | artnership will only be discussed with the relevant | |
| Given Name | Surr | name | |
| Mobile Number* | _Email* | | |

Approved form AF2014-116 approved by David Middlemiss, Construction Occupations Registrar on 7 October 2014 under section 128 of the *Construction Occupations (Licensing) Act 2004*.

| I understand that it is a requirement under law that should the licence be issued that the Construction Occupations Registrar must be notified of any changes to address details within two weeks of the change. | | | |
|---|---|--|--|
| ☐ I understand | | | |
| Section B — Company or Partnership | | | |
| | | | |
| A corporation or partnership is eligible to be licensed only in occupation classes: | n the following construction occupations or | | |
| a) asbestos removalist;b) builder;c) building surveyor;d) drainer; | | | |
| d) drainer;e) electrician – electrical contractor class;f) gasfitter; | | | |
| g) plumber;h) plumbing plan certifier; andi) works assessor. | | | |
| A company or partnership is only eligible to be licensed in a con if the corporation or partnership has a nominee who is licensed in | | | |
| Please indicate what type of licence you are applying for: | | | |
| Company | Partnership | | |
| Section C — Nominee appointed for the Com | pany / Partnership | | |
| | | | |
| | | | |
| Title Surname | | | |
| Title Surname Given Names | | | |
| | Date of Birth | | |
| Given Names | Date of Birth Expiry Date | | |
| Given Names | Date of Birth Expiry Date Expiry Date | | |
| Given Names Licence Mobile Number | Date of Birth Expiry Date Expiry Date g) Act 2004 I agree to become the nominee | | |
| Given Names Licence Mobile Number Pursuant to section 28 of the Construction Occupations (Licensin | Date of Birth | | |
| Given Names Licence Mobile Number Pursuant to section 28 of the Construction Occupations (Licensin for the below stated company in the occupation of 1. I understand that I will remain the nominee of the companieligible to stay a nominee if I cease to be licensed in a center of the companies. | Date of Birth | | |
| Civen Names Licence Mobile Number Pursuant to section 28 of the Construction Occupations (Licensin for the below stated company in the occupation of 1. I understand that I will remain the nominee of the companine ligible to stay a nominee if I cease to be licensed in a company of the construction services for which I as the nominee. | Date of Birth | | |
| Licence | Date of Birth | | |
| Licence Mobile Number Pursuant to section 28 of the Construction Occupations (Licensin for the below stated company in the occupation of 1. I understand that I will remain the nominee of the companineligible to stay a nominee if I cease to be licensed in a for any of the construction services for which I as the nom Yes 2. I understand that as a nominee I am responsible company/partnership and that disciplinary action can be the Construction Occupations (Licensing) Act 2004. | | | |

| 4. Tunderstand that as a norm | iee i must be able to exercise the functions of a norminee off a daily basis. | |
|---|--|--|
| | No gn at any time from being a nominee for the company/partnership only struction Occupations Registrar. | |
| Yes | □No | |
| will cease from being a lice | sole nominee for a company/partnership then the company/partnership used entity; and that the Construction Occupations Registrar will write to forming them of my resignation. | |
| Yes | □No | |
| approval by the Construction | pany/partnership can revoke my appointment as a nominee only with on Occupations Registrar; and that the Construction Occupation Registrar ne of the revocation of my appointment. | |
| Yes | □No | |
| Signature of Nominee | Date | |
| Please note: If more than one nominee is to be appointed to the company at the time of submitting this application, please attach a completed Application for Construction Occupation Licence - Update Nominees form for each additional nominee. | | |
| Section D — Director / I | Partner Agreement | |
| As Director/Partner I agree to Company/Partnership. | the appointment of the above licensee to be nominee for the | |
| Director / Partner Name | | |
| Signature of Director / Partner | Date | |
| Section E — Historical C | ompany Extract | |
| Complete this section only if you a | are applying for a company licence. | |
| Requirement: | Historical company extract issued from the Australian Securities and Investment Commission within the last three months or company extract if the company is less than three months old. | |
| Yes —please attach a copy of t | the historical company extract. | |
| ☐ No — please note that you ma | y not be eligible for a licence. | |
| Section F — Partnership | Agreement | |
| Complete this section only if you a | are applying for a partnership licence. | |
| Requirement: | A partnership agreement must state, in the least, the name of the partners entering into the agreement; the name of the business; the term | |
| Yes —please attach a copy of t | of the agreement; the principal occupation of the partnership; the location where the partnership will be primarily located; and a clause surrounding what actions would occur from potential insolvency of the partnership i.e. voluntary termination, death or missing person. | |

| ☐ No — please note that you may not be eligible for a licence. | | | |
|---|---|--|--|
| Section G — Evidence of Financial Resources | | | |
| Evidence of financial resources is required to help demonstrate your ability to fulfil contractual obligations when providing construction services. Failure to provide information below may result in your licence being refused. | | | |
| Do you have access to financial resources adequate to complete works performed under the licence applied for? | | | |
| Yes — please attach evidence attesting to the company or partnerships financial capacity | | | |
| No — please not you may not be eligible for a licence. | | | |
| Is the company or partnership bankrupt, or previously been, deemed bankrupt? | | | |
| Yes — please attach details and official documentation of bankruptcy | ☐ No | | |
| Are any of the Directors or Partners are, or previously been, deemed bankrupt or personally in | nsolvent? | | |
| Yes — please attach details and official documentation of bankruptcy/insolvency | ☐ No | | |
| I understand that it is a requirement under law that should my licence be issued, I must notify the Construction Occupations Registrar should the company, partnership, directors or partners become bankrupt or personally insolvent. | | | |
| I have read and understand the above statement. | | | |
| | | | |
| Section H — Licence and Personal History Declarations | | | |
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| Have you or any of the directors/partners previously held a company or partnership licence is construction occupation in the ACT or elsewhere in Australia or overseas for this or another partnership? Yes —provide details of the company/partnership name, licence details and company/partnership. | er company or ership status | | |
| Have you or any of the directors/partners previously held a company or partnership licence is construction occupation in the ACT or elsewhere in Australia or overseas for this or another partnership? Yes —provide details of the company/partnership name, licence details and company/partnership. | er company or ership status | | |
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| Have you or any of the directors/partners previously held a company or partnership licence is construction occupation in the ACT or elsewhere in Australia or overseas for this or another partnership? Yes —provide details of the company/partnership name, licence details and company/partnership name, licence as a company or partnership, in Australia or overseas, currently suspended or one of the directors/partners previously had a company or partnership licenterefused or suspended in Australia or overseas for this or another company or partnership? Yes — you must provide comprehensive details in an attachment to this application. I understand that I should notify the Construction Occupations Registrar if my company of licence is cancelled, refused or suspended in Australia or overseas. | er company or ership status cancelled? No nce cancelled, No or partnership | | |

| from applying for licence, or being licensed, in a construction occupation? | iding prior Act, |
|--|------------------|
| Yes – you must provide comprehensive details in an attachment to this application | ☐ No |
| Have you or any of the directors/partners ever been, or currently, the subject of complaints discipline, demerit activity or rectification orders whilst licensed under the Constructio (Licensing) Act 2004, a corresponding prior Act, or the law of another jurisdiction in Austral where those proceedings were not finalised? | n Occupations |
| Yes – you must provide comprehensive details in an attachment to this application | ☐ No |
| Have you or any of the other directors/partners been convicted or found guilty of a crimina involves fraud, dishonesty or violence and is punishable by imprisonment of 1 year or more? | I offence* that |
| Yes — you must provide comprehensive details in an attachment to this application and a recent criminal history check | □No |
| *Please note: Spent convictions under the Spent Convictions Act 2000 do not need to be included in this declaration | |
| I understand that it is a requirement under law that should the licence be issued I m Construction Occupations Registrar if I or any of the directors/partners are convicted of such the future. | - |
| ☐ I have read and understand the above statement. | |
| I understand that it is a requirement under law that should the licence be issued then the partnership must not perform any licensable work that is outside the scope of what the licen | |
| ☐ I have read and understand the above statement. | |
| I understand that it is a requirement under law that should the licence be issued then evigiven to clients of the insurance the company may hold in relation to any relevant licensable service the company intends to undertake prior to providing the services. | |
| ☐ I have read and understand the above statement. | |
| I understand that it is a requirement under law that should the licence be issued the comparmust only advertise licensable construction services with the full name of the licensed entity on the licence) and the licence number.* | |
| ☐ I have read and understand the above statement. | |
| *Please note: It is an offence to advertise licensable construction services as an individual without including the above. | |
| I understand that it is a requirement under law that should I be issued a licence, all licens may perform under the licence must comply with all relevant legislation, codes and standard | |
| ☐ I have read and understand the above statement. | |
| Please note: Licensed services may be audited by the Construction Occupations Registrar in the ACT for compliance a laws. Licensed entities identified performing non-compliant services may be subject to occupational discipline or other l | _ |

Section I — Consent and Application Declaration

| l, | |
|---------|--|
| | Insert name, position, address and occupation of person making the declaration |
| make t | he following declaration: |
| 1. | I declare: |
| a) | that I make this application to the Construction Occupations Registrar for a construction occupations licence and that all statements, and the documents/information provided in support of the application, are accurate, true and correct. |
| 2. | I authorise and consent: |
| a) | to the Construction Occupations Registrar and Environment and Planning Directorate to make enquiries of, and exchange information with, the relevant regulatory authorities of any Australian State or Territory, or other country, regarding my construction occupation licence and practice of my relevant construction occupation, or otherwise regarding matters relevant to this application. |
| b) | to receiving information from the Construction Occupations Registrar relevant to my construction occupation licence or the construction industry electronically. |
| 3. | I acknowledge: |
| a) | that the Construction Occupations Registrar and EPD may validate documents provided in support of this application or wish to confirm my identity. |
| b) | that failure to complete all relevant sections of this application and enclose all supporting documentation may result in this application not being accepted or additional fees being applied as per the statutory instrument authorising fees to be taken under the <i>Construction Occupations</i> (Licensing) Act 2004 |
| c) | that the Construction Occupations Registrar has the legal right to refuse my application if not satisfied that I am eligible to hold a licence or impose a condition on my licence if it is deemed necessary or desirable to protect the public. |
| 4. | I undertake: |
| a) | to comply with the <i>Construction Occupations (Licensing) Act 2004</i> (and it's operational Acts) and the codes, standards and guidelines relevant to my construction occupation licence when providing, or offering to provide, a relevant construction service. |
| 5. | I believe that the statements (1 - 4) in this declaration are true. |
| Signatu | ure of Applicant |
| Date _ | |

Section J — Payment Details

View the <u>fees and charges booklet</u> for licence applications for more information.

Upon lodgement of your application you will be required to pay an application assessment fee. This fee is **non-refundable**. You will be provided with a notice of decision in relation to your application. If your application is approved a licence term fee will be payable prior to the issue of your licence. Please note that additional fees may apply if you submit an incomplete application without required supporting documents.

Section K — Lodgement and Contact Information

Environment and Planning Directorate website

Customer Service Centre

GPO Box 1908, Canberra City 2601 16 Challis Street, Dickson ACT 2602

Business Hours: 8.30am to 4.30pm weekdays (excluding Public Holidays)

Phone: (02) 6207 1923

Email: epdcustomerservices@act.gov.au