

# Application for Construction Occupation Licence Individual Building Surveyor

Construction Occupations (Licensing) Act 2004, s128 and s17

This approved form is to be used for individuals applying under the *Construction Occupations (Licensing) Act* 2004 to the Construction Occupations Registrar for a building surveyor licence to provide building surveying and certification services in the ACT. This application will not be considered unless it is complete and all supporting documentation provided.

All supporting documentation must:

- be originals or certified true copies of original documents where relevant; and
- be in English. If original documents are not in English, you must provide a certified copy of the original document and an official translation of the document.

For detailed information on eligibility criteria please refer to <u>Certifiers licensing</u> on the <u>www.planning.act.gov.au</u> website.

#### **Privacy Notice**

The personal information on this form is provided to the ACT Environment and Planning Directorate (EPD) to enable the processing of your application. If all or some of the personal information is not collected EPD cannot process your application. The personal information you provide may be disclosed to the other ACT Government Directorates, and third parties external to the ACT Government, as required by specific legislations administered by EPD. The <a href="Information Privacy Policy Annex">Information Privacy Policy Annex</a> contains information in regard to what information EPD collects and to whom it is disclosed. The policy also contains information about how you may access or seek to correct your personal information held by EPD, and how you may complain about an alleged breach of the Territory Privacy Principles. The EPD Information Privacy Policy can be found on the EPD website, under the "About Us" menu.

| Title Surname  |                              |   |
|--|------------------------------|---|
|  |                              |   |
| Business Address   |                              |   |
| Suburb   | State                        | Postcode  |
| Residential Address  |                              |   |
| Suburb   | State                        | Postcode  |
| Postal Address   |                              |   |
| Suburb   | State                        | Postcode  |
| Phone Number (Business Hours)  |                              | _Fax  |
| Mobile Number*   | Email*                       |   |
| *Please note that under s170 of the Constructio<br>number and email address. | n Occupations (Licensing) Ac | t 2004 it is a requirement to provide a valid mobile telepl |

Approved form AF2014-151 approved by David Middlemiss, Construction Occupations Registrar on 7 November 2014 under section 128 of the *Construction Occupations (Licensing) Act 2004* and revokes AF2014-115.

|  | under law that should my licence be issued I must notify the y changes to my details within two weeks of the change.   |
|--|--|
| ☐ I understand                                 |  |
| Section B — Existing licence                   |  |
| Have you previously or do you currently l      | nold an ACT construction occupation licence?   |
| Yes — licence number                           | expiry date  |
| ■ No — proceed to Section C – Occupation       | on class and functions.  |
| Section C — Occupation class                   | and functions  |
| service, an exemption assessment service       | vides, has provided or proposes to provide a building certification e or a works assessment service. A building certification service is under the <i>Building Act 2004</i> . Exemption assessment work means der the <i>Building Act 2004</i> . |
| What class of building surveyor licence ar     | re you applying for?   |
| Principal Building Surveyor -                  | licence authorises the building certification work or, for a corporation, supervision of building certification work.  |
| Principal Building Surveyor Employee           | <ul> <li>licence authorises the building certification work as an<br/>employee of a principal building surveyor.</li> </ul>  |
| General Building Surveyor -                    | licence authorises building certification work or, for a corporation, supervision of building certification work, in relation to a building that is 3 storeys or lower and that has a floor area of 2,000m <sup>2</sup> or less.                 |
| General Building Surveyor Employee -           | licence authorises building certification work in relation to a building that is 3 storeys or lower and that has a floor area of 2,000m <sup>2</sup> or less, as an employee of a principal or general building surveyor.                        |
| Principal Government Building Survey           | vor - licence authorises building certification work.  |
| Government Building Surveyor -                 | licence authorises building certification work in relation to a building that is 3 storeys or lower and that has a floor area of 2,000m² or less.  |
| Section D — Evidence of qualif                 | ications/eligibility – Principal Building Surveyor   |
| Complete this section only if you are apply    | ring for a principal building surveyor licence.  |
|  | the Institution of Engineers Australia on the national professional er in the area of practice of "principal certifier-building (ACT)";  |
| OR   |  |
| Accreditation Type: accreditation a Surveyors. | s a "building surveyor" by the Australian Institute of Building  |
| Yes — please attach a certified copy of        | the registration or accreditation  |

 $\hfill \square$  No — please note that you may not be eligible for a licence.

# Section D — Evidence of qualifications/eligibility – Principal Building Surveyor Employee

Complete this section only if you are applying for a Principal building surveyor employee licence.

Registration Type: registration by the Institution of Engineers Australia on the national professional engineers register in the area of practice of "principal certifier-building (ACT)":

# Section D — Evidence of qualifications/eligibility – General Building Surveyor

Complete this section only if you are applying for a General Building Surveyor licence.

Accreditation Type: "assistant building surveyor" issued prior to 28 February 2011 by the Australian

Institute of Building Surveyors;

OR

Accreditation Type: "building surveyor limited" issued after 28 February 2011 by the Australian

Institute of Building Surveyors

Yes — please attach a certified copy of the registration or accreditation

No ─ please note that you may not be eligible for a licence.

# Section D — Evidence of qualifications/eligibility – General Building Surveyor Employee

Complete this section only if you are applying for a general building surveyor employee licence.

Accreditation Type: "assistant building surveyor" issued prior to 28 February 2011 by the Australian

Institute of Building Surveyors;

OR

| Accreditation Type:          | "building surveyor limited" issued after 28 February 2011 by the Australian Institute of Building Surveyors   |
|------------------------------|---|
| ☐ Yes — please attach a      | a certified copy of the registration or accreditation   |
| ☐ No — please note tha       | at you may not be eligible for a licence.   |
| <u>Employer</u>              |   |
| To be eligible for this clas | ss the employer must hold at least a general building surveyor licence.   |
|                              | e the details of your employer below and attach a statement from your employer ur employment.   |
| ☐ No — please note th        | at you may not be eligible for a licence.   |
| Name of principal or gen     | eral building surveyor (employer)   |
| Licence number               | Expiry  |
|                              |   |
|                              | ence of qualifications/eligibility – Principal Government ing Surveyor  |
| Complete this section on     | ly if you are applying for a principal government building surveyor licence.  |
| Registration Type:           | registration by the Institution of Engineers Australia on the national professional engineers register in the area of practice of "principal certifier-building (ACT)"; |
|                              | OR  |
| Accreditation Type:          | accreditation as a "building surveyor" by the Australian Institute of Building Surveyors.   |
| Yes — please attach a        | a certified copy of the registration or accreditation   |
| ☐ No — please note tha       | at you may not be eligible for a licence.   |
| Section D — Evide            | ence of qualifications/eligibility – Government Building  |
| Surve                        |   |
| Complete this section on     | ly if you are applying for a government building surveyor licence.  |
| Accreditation Type:          | "assistant building surveyor" issued prior to 28 February 2011 by the Australian Institute of Building Surveyors;   |
|                              | OR  |
| Accreditation Type:          | "building surveyor limited" issued after 28 February 2011 by the Australian Institute of Building Surveyors   |
| Yes — please attach a        | a certified copy of the registration or accreditation   |
| ☐ No — please note tha       | at you may not be eligible for a licence.   |

# Section E — Insurance Requirements

Note: If you are applying for a principal government building surveyor or government building surveyor you do not need to complete this section.

A building surveyor must have adequate insurance to be eligible for a licence. The insurance certificate must state that the applicant is an insured person under the policy. Insurance must also meet the minimum requirements as prescribed under section 17(4) of the Construction Occupations (Licensing) Regulation 2004 (the regulation). For employee classes a certificate can be issued in the employer's name however that employer must also hold a licence as a building surveyor in the ACT.

Section 17(4) of the regulation states "an applicant is adequately insured if the applicant has professional indemnity insurance that provides

- a) indemnity against claims for breach of professional duty as a building surveyor; and
- b) a minimum limit of indemnity of \$1,000,000 for a single claim; and

|          | during the period of cover; and in addition to the indemnities mentioned in paragraphs (b) and (c), a minimum limit of indemnity for the costs and expenses of defending or settling a claim of 20 per cent of the limit of the indemnity for the claim." |
|----------|---|
|          | Yes — please attach a certified copy of the insurance, and if required, a copy of the insurance policy disclosure document.   |
| <u> </u> | No — please note that you may not be eligible for a licence.  |
| Sec      | ction F — Evidence of Financial Resources   |
|          | ence of financial resources is required to help demonstrate your ability to fulfil contractual obligations in providing construction services. Failure to provide information below may result in your licence being sed.                                 |
| 1.       | Do you have access to financial resources adequate to complete works performed under the licence applied for?   |
|          | Yes – please skip the next question   |
|          | ☐ No – complete next question   |
| 2.       | If 'No' to the above, do you wish to only operate as an employee under the licence applied for?   |
|          | Yes – I understand a condition may be imposed on the licence if issued  |
|          | ☐ No − if you do not wish to have a licence restricted to employee only, then you must ensure you have financial resources as requested above or you may be ineligible for a licence  |
| 3.       | Are you currently, or previously have been, deemed bankrupt or personally insolvent?  |
|          | Yes – please attach details and official documentation of bankruptcy/insolvency   |
| 4.       | I understand that it is a requirement under law that should my licence be issued, I must notify the Construction Occupations Registrar should I become bankrupt or personally insolvent.  |

☐ I have read and understand the above statement.

# Section G — Licence History Declarations

| 1.  | Have you previously held a building surveyor licence elsewhere in Australia or overseas?  |               |
|-----|---|---------------|
|     | Yes —provide details below of most recent licence/s (attach an additional page if require   | ed) 🗌 No      |
|     | State/Territory/CountryExpiry date:   |               |
| 2.  | Is your licence as a building surveyor, in Australia or overseas, currently suspended or cano   | celled?       |
|     | Yes — you must attach details   | ☐ No          |
| 3.  | Have you previously had a building surveyor licence cancelled, refused or suspended in overseas?  | Australia or  |
|     | ☐ Yes — you must provide comprehensive details in an attachment to this application   | ☐ No          |
| 4.  | I understand that I must notify the Construction Occupations Registrar if my building survis cancelled, refused or suspended in Australia or overseas.  | veyor licence |
|     | ☐ I have read and understand the above statement.   |               |
| 5.  | Has your building surveyor licence ever been subject to conditions, undertakings, lirestrictions in Australia or overseas?  | mitations or  |
|     | ☐ Yes — you must provide comprehensive details in an attachment to this application   | ☐ No          |
| 6.  | Are you disqualified under the <i>Construction Occupations (Licensing) Act 2004</i> , or a corresp Act, from applying for licence, or being licensed, in a construction occupation?   | onding prior  |
|     | Yes – you must provide comprehensive details in an attachment to this application   | ☐ No          |
| 7.  | Have you ever been, or are you currently, the subject of complaints, occupational discipl activity or rectification orders whilst licensed under the <i>Construction Occupations (Lic 2004</i> , a corresponding prior Act, or the law of another jurisdiction in Australia or over those proceedings were not finalised? | censing) Act  |
|     | Yes – you must provide comprehensive details in an attachment to this application   | ☐ No          |
| 8.  | Have you been convicted or found guilty of a criminal offence* that involves fraud, diviolence and is punishable by imprisonment of 1 year or more?   | ishonesty or  |
|     | Yes — you must provide comprehensive details in an attachment to this application and a recent criminal history check (issued in the last three months).  *Please note: Spent convictions under the Spent Convictions Act 2000 do not need to be included in this declaration.  | ☐ No          |
| 9.  | I understand that it is a requirement under law that should my licence be issued I must Construction Occupations Registrar if I am convicted of such an offence in the future.  | st notify the |
|     | ☐ I have read and understand the above statement.   |               |
| 10. | I understand that it is a requirement under law that should my licence be issued I must any licensable building certification services that is outside the scope of what the licence a  | -             |
|     | ☐ I have read and understand the above statement.   |               |
| 11. | I understand that it is a requirement under law that should my licence be issued I must g to clients of the insurance I hold as a licensed individual in relation to any relevan construction service I intend to undertake prior to providing the services.  |               |
|     | ☐ I have read and understand the above statement.   |               |

| 12.    | licensable construction services with my full name (as it appears on the licence) and my individual licence number.*  |
|--------|---|
|        | ☐ I have read and understand the above statement.   |
|        | *Please note: It is an offence to advertise licensable construction services as an individual without including the above.  |
| 13.    | I understand that it is a requirement under law that should I be granted a licence, all licensable certification services I may perform under my building surveyor licence must comply with all relevant legislation, codes and standards.  |
|        | ☐ I have read and understand the above statement.   |
| the re | e note: Building certification services may be audited by the Construction Occupations Registrar in the ACT for compliance against<br>elevant laws. Individual licence holders identified performing non-compliant services may be subject to occupational discipline or<br>licensing action. |
| Sec    | tion G — Consent and Application Declarations   |
|        |   |
| l,     | ,   |
|        | Insert name, address and occupation of person making the declaration  |

make the following declaration:

#### 1. I declare:

a) that I make this application to the Construction Occupations Registrar for a construction occupations licence and that all statements, and the documents/information provided in support of the application, are accurate, true and correct.

#### 2. I authorise and consent:

- a) to the Construction Occupations Registrar and Environment and Planning Directorate (EPD) to make enquiries of, and exchange information with, the relevant regulatory authorities of any Australian State or Territory, or other country, regarding my construction occupation licence and practice as a building surveyor or otherwise regarding matters relevant to this application.
- b) to receiving information from the Construction Occupations Registrar relevant to my construction occupation licence or the construction industry electronically.

#### 3. I acknowledge:

- a) that the Construction Occupations Registrar and EPD may validate documents provided in support of this application or wish to confirm my identity.
- b) that failure to complete all relevant sections of this application and enclose all supporting documentation may result in this application not being accepted or additional fees being applied as per the statutory instrument authorising fees to be taken under the *Construction Occupations* (*Licensing*) Act 2004.
- c) that the Construction Occupations Registrar has the legal right to refuse my application if not satisfied that I am eligible to hold a licence or impose a condition on my licence if it is deemed necessary or desirable to protect the public.

#### 4. Should I be issued with a construction occupations licence I undertake:

a) to comply with the *Construction Occupations (Licensing) Act 2004* (and it's operational Acts) and the codes, standards and guidelines relevant to my construction occupation licence when providing, or offering to provide, a relevant construction service.

#### 5. I believe that the statements (1 - 4) in this declaration are true and correct.

| Signature of Applicant Date: |
|------------------------------|
|------------------------------|

### Section H — Payment Details

View the <u>fees and charges booklet</u> for licence applications for more information.

Upon lodgement of your application you will be required to pay an application assessment fee. This fee is **non-refundable**. You will be provided with a notice of decision in relation to your application. If your application is approved a licence term fee will be payable prior to the issue of your licence. Please note that additional fees may apply if you submit an incomplete application without required supporting documents.

# Section I — Lodgement and Contact Information

Environment and Planning Directorate website www.planning.act.gov.au

#### **Customer Service Centre**

GPO Box 1908, Canberra City 2601 16 Challis Street, Dickson ACT 2602

Business Hours: 8.30am to 4.30pm weekdays (excluding Public Holidays)

Phone: (02) 6207 1923

Email: epdcustomerservices@act.gov.au