



Application for Construction Occupation Licence Individual Plumbing Plan Certifier

Construction Occupations (Licensing) Act 2004, s128 and s17

This approved form is to be used for individuals applying under the *Construction Occupations (Licensing) Act 2004* to the Construction Occupations Registrar for a plumbing plan certifier licence to provide plumbing certification services in the ACT. This application will not be considered unless it is complete and all supporting documentation provided.

All supporting documentation must:

- be originals or certified true copies of original documents where relevant; and
- be in English. If original documents are not in English, you must provide a certified copy of the original document and an official translation of the document.

Privacy Notice

The personal information on this form is provided to the ACT Environment and Planning Directorate (EPD) to enable the processing of your application. If all or some of the personal information is not collected EPD cannot process your application. The personal information you provide may be disclosed to the other ACT Government Directorates, and third parties external to the ACT Government, as required by specific legislation administered by EPD. The [Information Privacy Policy Annex](#) contains information in regard to what information EPD collects and to whom it is disclosed. The policy also contains information about how you may access or seek to correct your personal information held by EPD, and how you may complain about an alleged breach of the Territory Privacy Principles. The EPD Information Privacy Policy can be found on the [EPD website, under the "About Us" menu](#).

Section A — Personal Details

Title _____ Surname _____

Given Names _____ Date of Birth ____/____/____

Business Address _____

Suburb _____ State _____ Postcode _____

Residential Address _____

Suburb _____ State _____ Postcode _____

Postal Address _____

Suburb _____ State _____ Postcode _____

Phone Number (Business Hours) _____ Fax _____

Mobile Number* _____ Email* _____

**Please note that under the Construction (Occupations) Licensing Act 2004 it is a requirement to provide a valid mobile telephone number and email address.*

I understand that it is a requirement under law that should my licence be issued I must notify the Construction Occupations Registrar of any changes to my details within two weeks of the change.

I understand

Section B — Existing licence

Have you previously or do you currently hold an ACT construction occupation licence?

Yes — licence Number _____ expiry date _____

No — proceed to Section C – Occupation class and functions.

Section C — Occupation class and functions

A plumbing plan certifier is an entity that provides, has provided or proposes to provide a plumbing certification service. A plumbing certification service is the doing of plumbing plan certification work under the *Water and Sewerage Act 2000*.

What class of building surveyor licence are you applying for?

Plumbing Plan Certifier - licence authorises the doing of plumbing plan certification work.

Section D — Evidence of qualifications/eligibility – Principal Building Surveyor

Method One:

Registration Type: registration by the Institution of Engineers Australia on the national professional engineers register in the area of practice of “building services”

Yes — please attach a certified copy of your registration.

No — please note that you may not be eligible for the licence

Qualification Type: statement of competency in hydraulic systems from the Institution of Engineers Australia

Yes — please attach a certified copy of the statement of competency.

No — please note that you may not be eligible for a licence.

Experience: you must demonstrate that you have knowledge of AS/NZS 3500 – Plumbing and Drainage

Yes — please attach a detailed resume showing a history of working on AS/NZS 3500 and references from suitably licensed referees (individuals who currently hold a plumbing plan certifier licence) that you have worked under.

No — please note that you may not be eligible for a licence.

Method Two:

Licence Type: a sanitary plumber licence and an advanced sanitary drainer licence that you have held for a minimum of three years.

Yes — please note licence details and expiry date below.

No — please note that you may not be eligible for a licence.

Licence number _____ Licence Expiry _____

Qualification Type: successful completion of the backflow prevention course issued by a Registered Training Organisation.

Yes — please attach a certified copy of the completion certificate.

No — please note that you may not be eligible for a licence.

Section E — Insurance Requirements

A plumbing plan certifier must have adequate Professional Indemnity insurance to be eligible for a licence. The insurance certificate must state that the applicant is an insured person under the policy. If the insurance is in a company name then the insurance certificate must contain a clause stating that employees are covered, and a letter from a director must also be provided confirming that the applicant is an employee covered by the company's insurance policy. Insurance must also meet the minimum requirements as prescribed under section 18(2) of the *Construction Occupations (Licensing) Regulation 2004* (the regulation).

Section 18(2) of the regulation states 'an applicant is adequately insured if the applicant has professional indemnity insurance that provides

- a) indemnity against claims for breach of professional duty as a plumbing plan certifier; and
- b) a minimum limit of indemnity of \$1,000,000 for a single claim.'

Yes — please attach a certified copy of the insurance certificate of currency, and if required, a copy of the insurance policy disclosure document.

No — please note that you may not be eligible for a licence.

Section F — Evidence of Financial Resources

Evidence of financial resources is required to help demonstrate your ability to fulfil contractual obligations when providing construction services. Failure to provide information below may result in your licence being refused.

1. Do you have access to financial resources adequate to complete works performed under the licence applied for?

Yes – please skip the next question.

No – complete next question.

2. If 'No' to the above, do you wish to only operate as an employee under the licence applied for?

Yes – I understand a condition may be imposed on the licence if issued.

No— if you do not wish to have a licence restricted to employee only, then you must ensure you have financial resources as requested above or you may be ineligible for a licence).

3. Are you currently, or previously have been, deemed bankrupt or personally insolvent?

Yes – please attach details and official documentation of bankruptcy/insolvency.

No.

4. I understand that it is a requirement under law that should my licence be issued, I must notify the Construction Occupations Registrar should I become bankrupt or personally insolvent?

I have read and understand the above statement.

Section G — Licence History Declarations

1. **Have you previously held a plumbing plan certifier licence elsewhere in Australia or overseas?**
 Yes — provide details below of most recent licence/s (attach an additional page if required) No
State/Territory/Country _____ Expiry date: ____/____/____
2. **Is your licence as a plumbing plan certifier, in Australia or overseas, currently suspended or cancelled?**
 Yes — you must attach details No
3. **Have you previously had a plumbing plan certifier licence cancelled, refused or suspended in Australia or overseas?**
 Yes — you must provide comprehensive details in an attachment to this application No
4. **I understand that I must notify the Construction Occupations Registrar if my plumbing plan certifier licence is cancelled, refused or suspended in Australia or overseas.**
 I have read and understand the above statement.
5. **Has your plumbing plan certifier licence ever been subject to conditions, undertakings, limitations or restrictions in Australia or overseas?**
 Yes — you must provide comprehensive details in an attachment to this application No
6. **Are you disqualified under the *Construction Occupations (Licensing) Act 2004*, or a corresponding prior Act, from applying for licence, or being licensed, in a construction occupation?**
 Yes — you must provide comprehensive details in an attachment to this application No
7. **Have you ever been, or are you currently, the subject of complaints, occupational discipline, demerit activity or rectification orders whilst licensed under the *Construction Occupations (Licensing) Act 2004*, a corresponding prior Act, or the law of another jurisdiction in Australia or overseas, where those proceedings were not finalised?**
 Yes — you must provide comprehensive details in an attachment to this application No
8. **Have you been convicted or found guilty of a criminal offence* that involves fraud, dishonesty or violence and is punishable by imprisonment of 1 year or more?**
 Yes — you must provide comprehensive details in an attachment to this application No
and a recent criminal history check (issued in the last three months)
*Please note: Spent convictions under the Spent Convictions Act 2000 do not need to be included in this declaration
9. **I understand that it is a requirement under law that should my licence be issued I must notify the Construction Occupations Registrar if I am convicted of such an offence in the future.**
 I have read and understand the above statement.
10. **I understand that it is a requirement under law that should my licence be issued I must not perform any licensable plumbing plan certification services that are outside the scope of what the licence authorises.**
 I have read and understand the above statement.
11. **I understand that it is a requirement under law that should my licence be issued I must give evidence to clients of the insurance I hold as a licensed individual in relation to any relevant licensable construction service I intend to undertake prior to providing the services.**
 I have read and understand the above statement.

- 12. I understand that it is a requirement under law that should my licence be issued I must only advertise licensable construction services with my full name (as it appears on the licence) and my individual licence number.***

I have read and understand the above statement.

**Please note: It is an offence to advertise licensable construction services as an individual without including the above.*

- 13. I understand that it is a requirement under law that should I be granted a licence, all licensable plumbing plan certification services I may perform under my licence must comply with all relevant legislation, codes and standards.**

I have read and understand the above statement.

Please note: Plumbing plan certification work may be audited by the Construction Occupations Registrar in the ACT for compliance against the relevant laws. Individual licence holders identified performing non-compliant services may be subject to occupational discipline or other licensing action.

Section H — Consent and Application Declarations

I, _____
Insert name, address and occupation of person making the declaration

make the following declaration:

1. I declare:

- a) that I make this application to the Construction Occupations Registrar for a construction occupations licence and that all statements, and the information/documents provided in support of the application, is/are accurate, true and correct.

2. I authorise and consent:

- a) to the Construction Occupations Registrar and Environment and Planning Directorate (EPD) to make enquiries of, and exchange information with, the relevant regulatory authorities of any Australian State or Territory, or other country, regarding my construction occupation licence and practice as a building surveyor or otherwise regarding matters relevant to this application.
- b) to receiving information from the Construction Occupations Registrar relevant to my construction occupation licence or the construction industry electronically.

3. I acknowledge:

- a) that the Construction Occupations Registrar and EPD may validate documents provided in support of this application or wish to confirm my identity.
- b) that failure to complete all relevant sections of this application and enclose all supporting documentation may result in this application not being accepted or additional fees being applied as per the statutory instrument authorising fees to be taken under the *Construction Occupations (Licensing) Act 2004*.
- c) that the Construction Occupations Registrar has the legal right to refuse my application if not satisfied that I am eligible to hold a licence or impose a condition on my licence if it is deemed necessary or desirable to protect the public.

4. Should I be issued with a construction occupations licence I undertake:

- a) to comply with the *Construction Occupations (Licensing) Act 2004* (and its operational Acts) and the codes, standards and guidelines relevant to my construction occupation licence when providing, or offering to provide, a relevant construction service.

5. I believe that the statements (1 - 4) in this declaration are true and correct.

Signature of Applicant _____ Date: _____

Section I — Payment Details

View the [fees and charges booklet](#) for licence applications for more information.

Upon lodgement of your application you will be required to pay an application assessment fee. This fee is **non-refundable**. You will be provided with a notice of decision in relation to your application. If your application is approved a licence term fee will be payable prior to the issue of your licence. Please note that additional fees may apply if you submit an incomplete application without required supporting documents.

Section J — Lodgement and Contact Information

Environment and Planning Directorate website www.planning.act.gov.au

Customer Service Centre

GPO Box 1908, Canberra City 2601

16 Challis Street, Dickson ACT 2602

Business Hours: 8.30am to 4.30pm weekdays (excluding Public Holidays)

Phone: (02) 6207 1923

Email: epdcustomerservices@act.gov.au